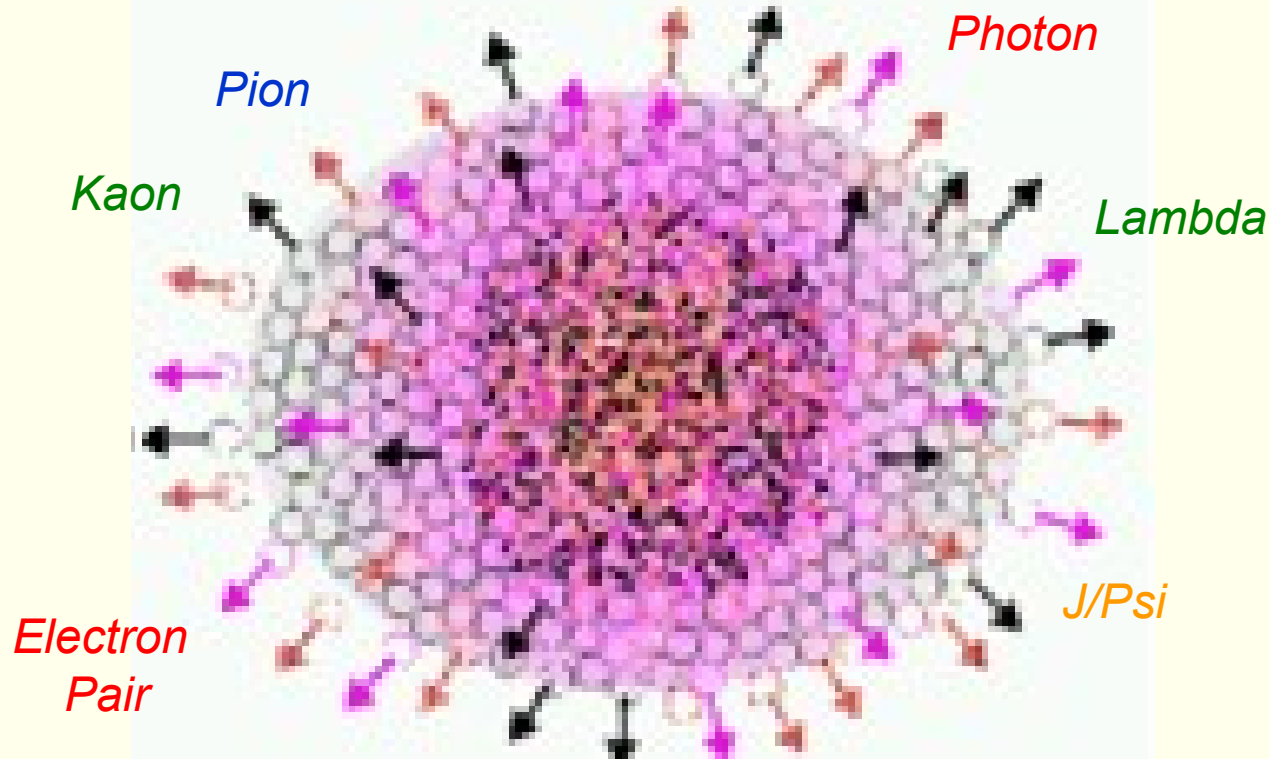


# **QGP Physics – From SPS to LHC**

## **5. Statistical Hadronization and Strangeness**

**Prof. Dr. Johanna Stachel, PD Dr. Klaus Reygers**  
**Physikalisches Institut**  
**Universität Heidelberg**  
**SS 2011**

## 5.1 Hadronization of the nuclear fireball



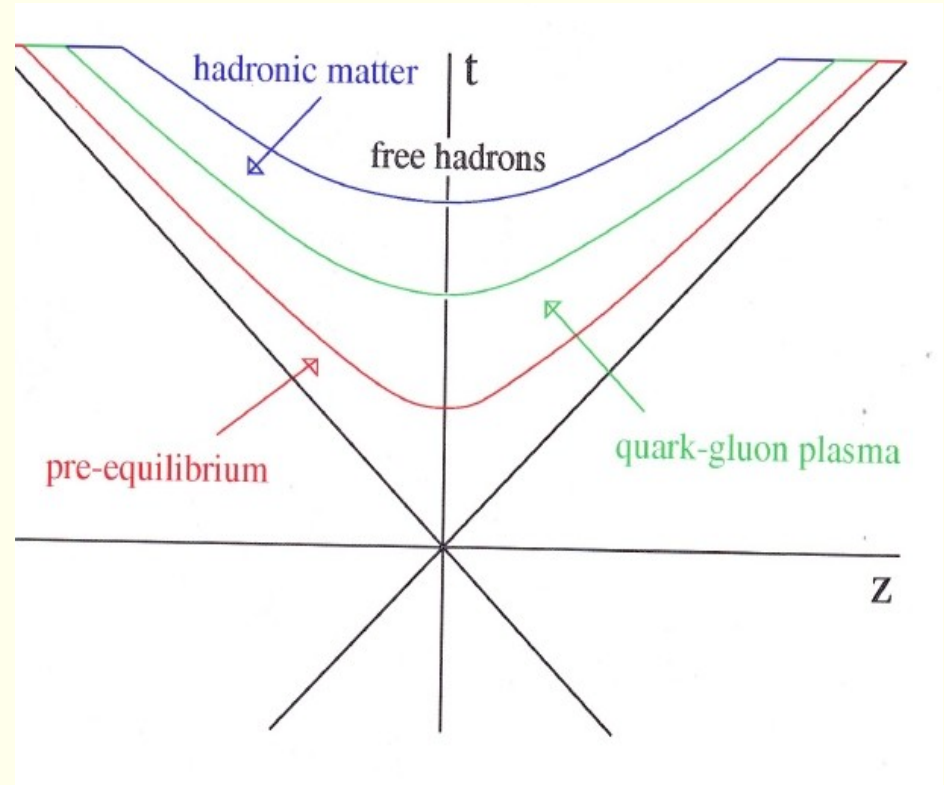
the fireball properties can be determined by measurement of the emitted particles here as first species: hadrons with up, down, strange constituent quarks

# The concept of hadrochemical freeze-out

nuclear fireball evolves  
(as sketched in lecture 1)  
it cools and expands,  
when it hits  $T_c$ , it hadronizes  
maybe cools and expands further

and finally falls apart  
when mean free path large as  
compared to interparticle distance  
**“kinetic”** or **“thermal freeze-out”**  
momentum distributions are frozen in  
no more elastic scattering

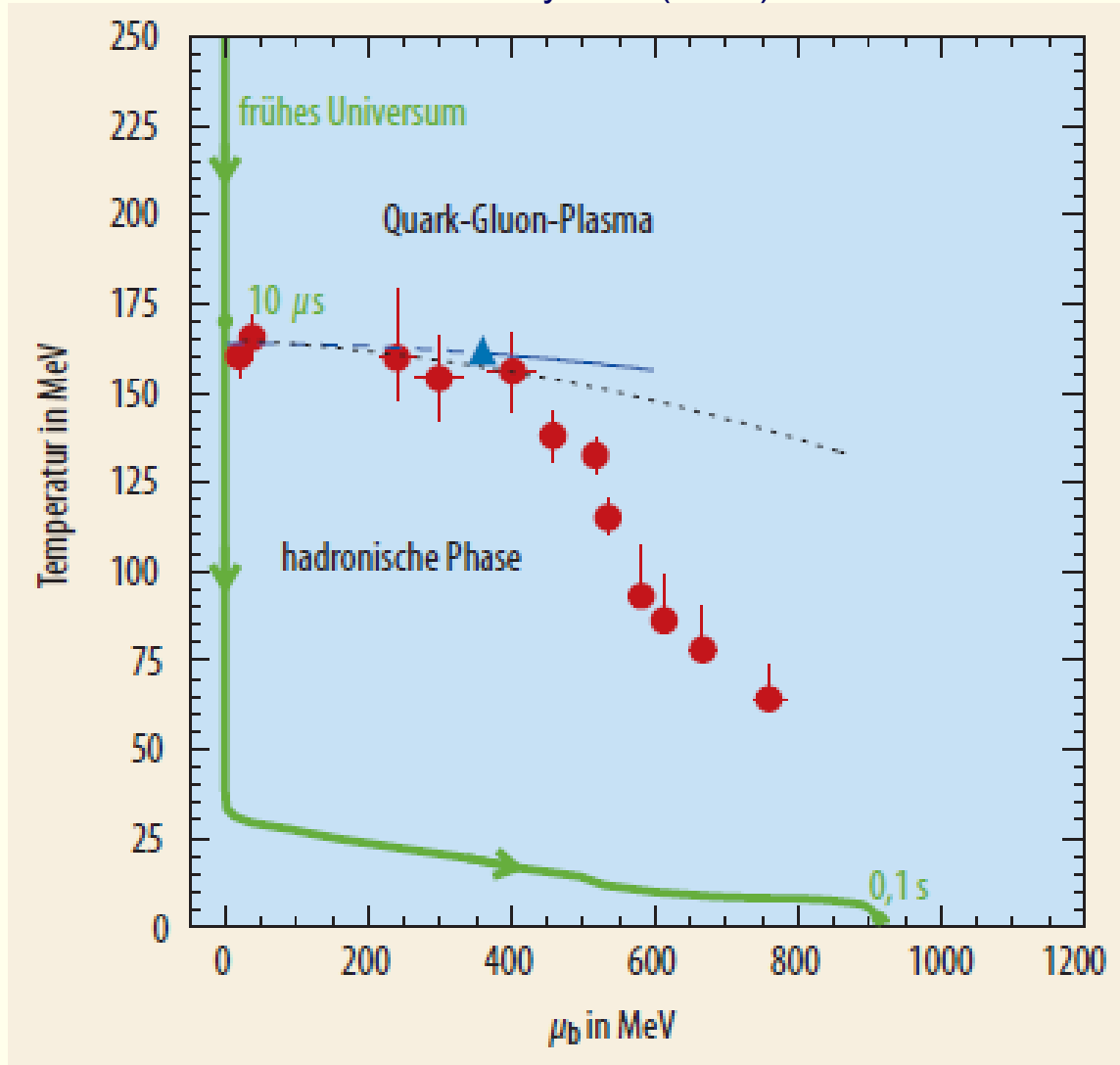
**“chemical”** or **“hadrochemical freeze-out”**: abundancies of hadrons  
are frozen in – no more inelastic  
scattering



Note: chemical freeze-out can happen together with thermal freeze-out or before the duration of these freeze-out processes if a priori not known

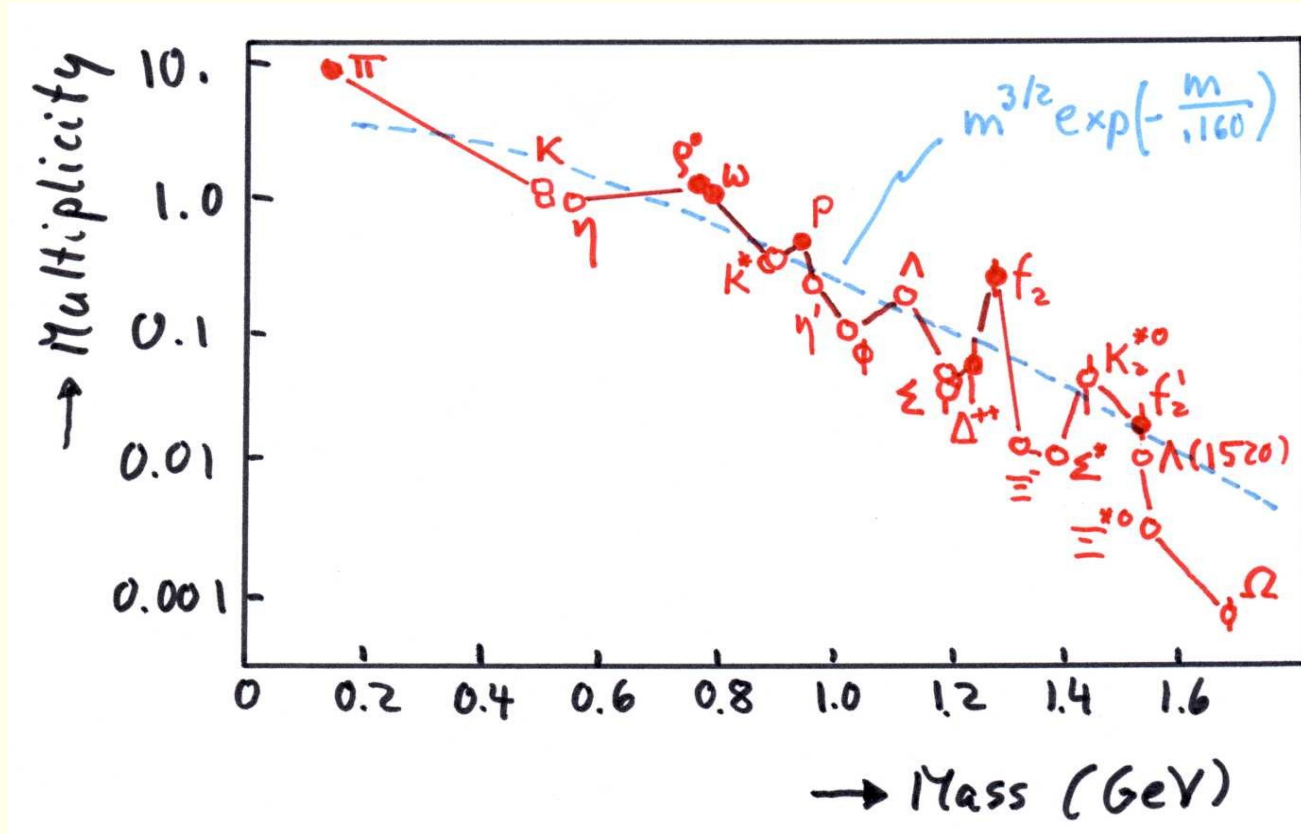
# In the early universe freeze-out happened after order of 0.1 s

P. Braun-Munzinger, J. Wambach,  
Rev. Mod. Phys. 81 (2009)1031



## 5.1.1 Hadron production in elementary collisions?

hadron production in  $e^+e^-$  collisions at  $\sqrt{s} = 91.2$  GeV (LEP)

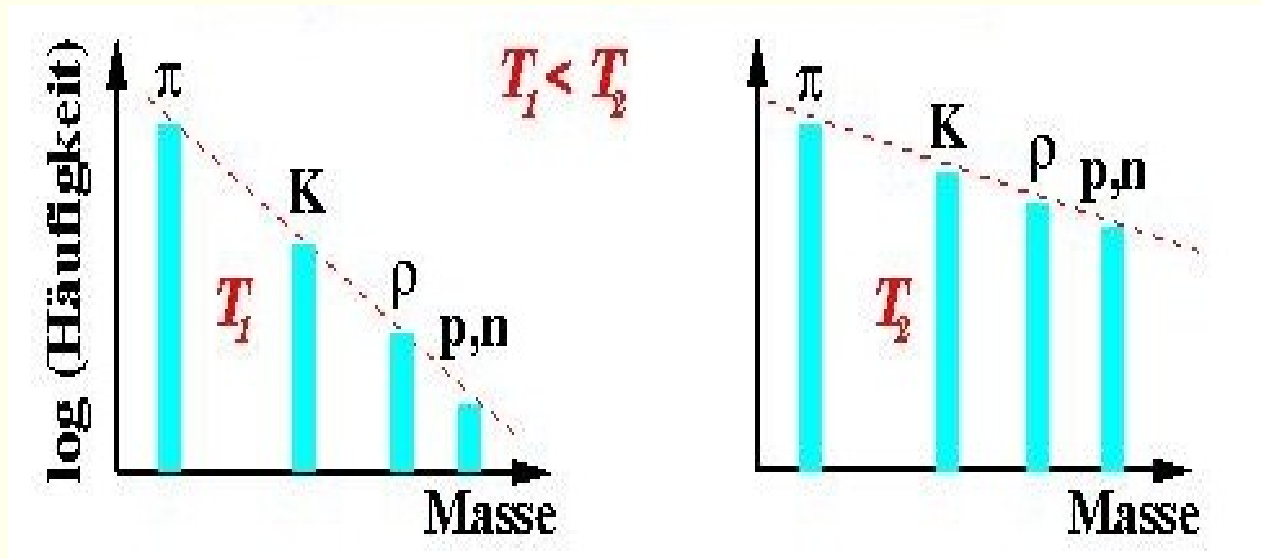


general trend: exponential decrease with mass

in addition: all hadrons with strange valence quarks produced less abundantly  
“strangeness suppression”

# Thermal energy leads to production of hadrons

equivalence of energy and mass



assume phase space is filled thermally (Boltzmann) at hadronization:  
abundance of hadron species

$$\propto m^{3/2} \exp(-m/T)$$

determined by temperature (and density) at time of production of hadrons =  
hadronization

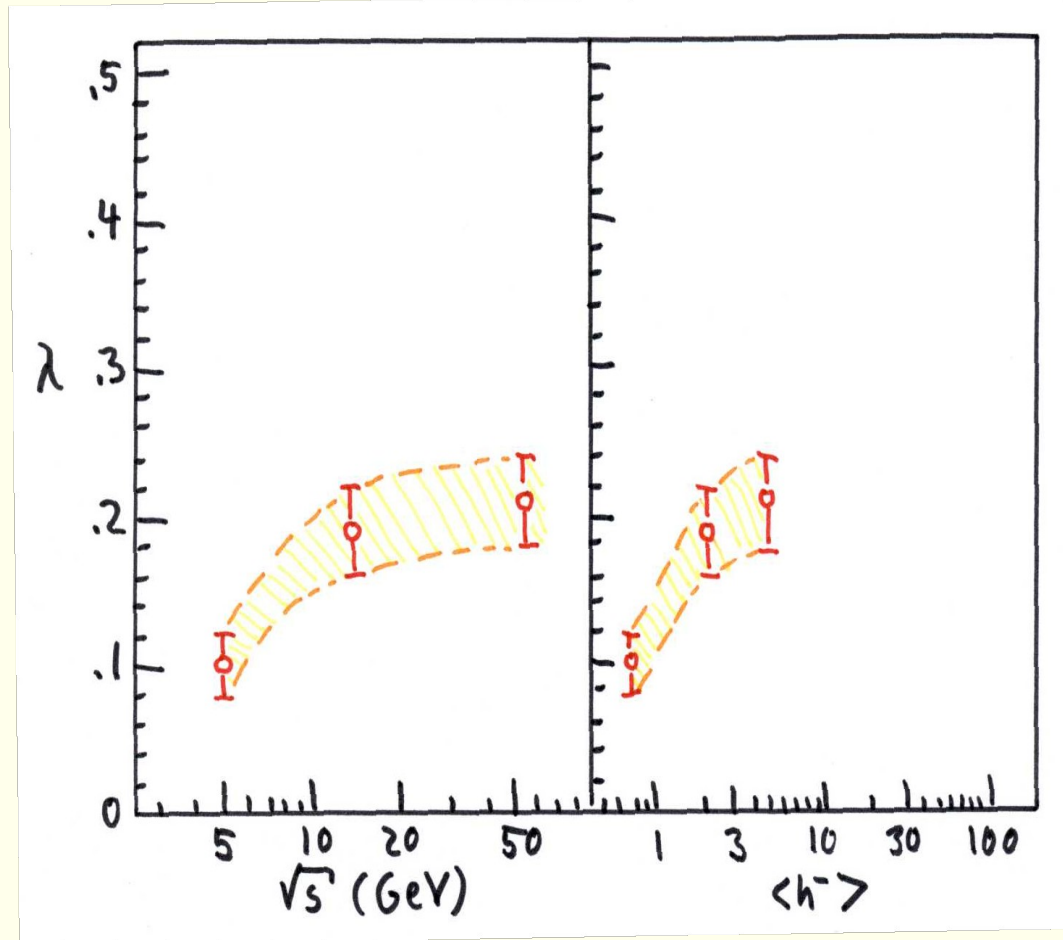
# strangeness suppression in hadron-hadron and e+e- collisions

the general exponentially falling trend is superimposed by a characteristic suppression of all hadrons with valence strange quarks

quantified by the so-called Wroblewski factor:

$$\lambda = \frac{2s\bar{s}}{u\bar{u} + d\bar{d}}$$

estimate from measured yields of hadrons the primary yields (before strong decays) and count the valence quarks



A.Wroblewski, Acta Phys. Pol. B16 (1985) 379

## 5.1.2 Hadron production in high energy heavy ion collisions

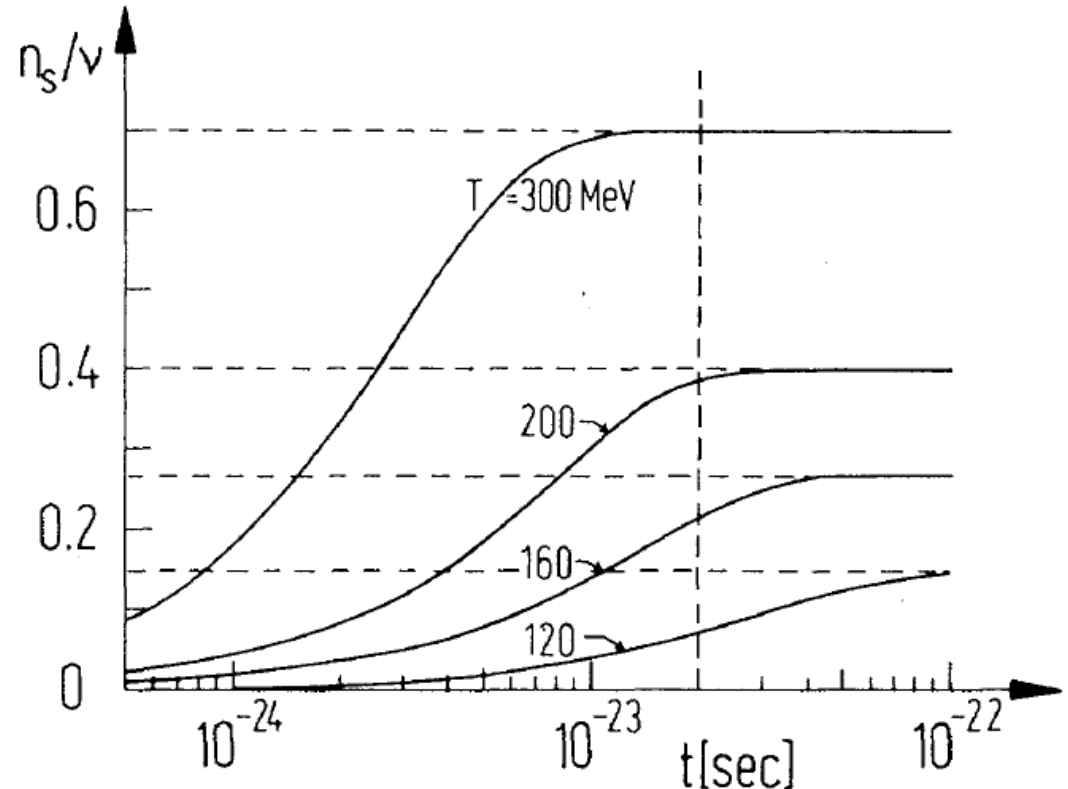
Expectation for strangeness production in heavy ion collisions where QGP is produced:

in QGP strangeness gets into equilibrium on a fast time scale

J. Rafelski, B. Mueller,  
Phys. Rev. Lett. 48 (1982) 1066

there should be more strangeness in heavy ion collisions than in elementary collisions if a QGP is formed

**enhanced production of strange hadrons one of the earliest predicted signature of QGP**

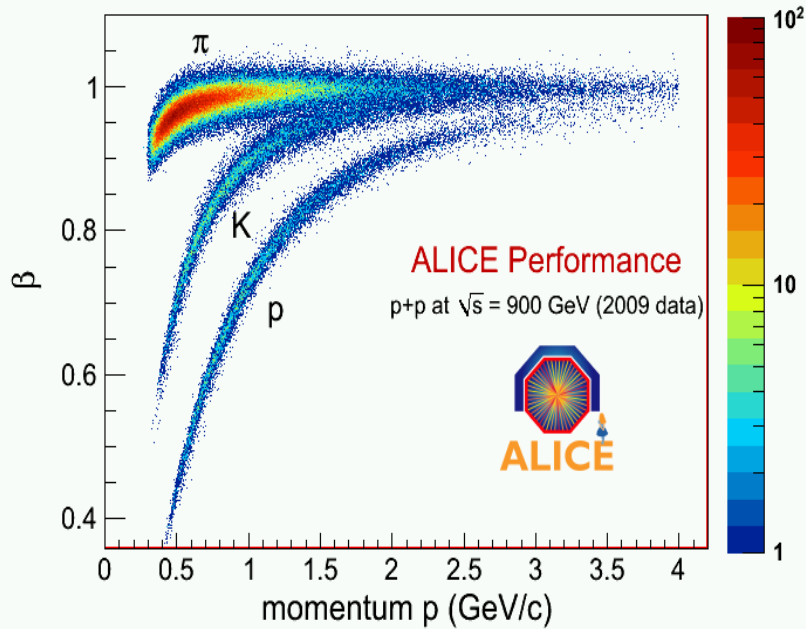


ratio of strange quark to baryon number abundance in a QGP for various temperatures

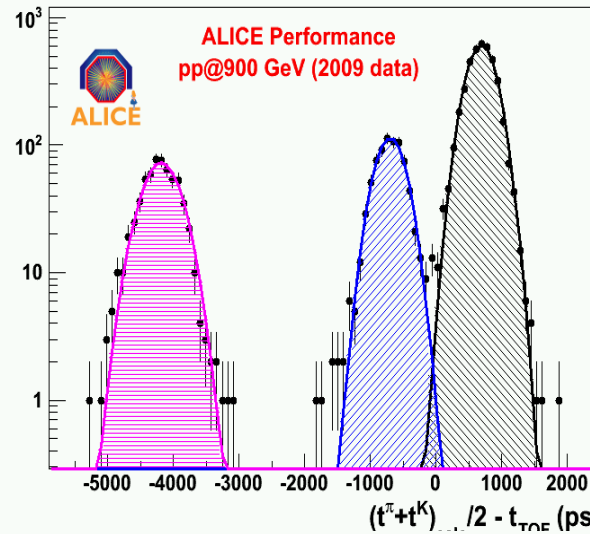


# How to measure production yields of identified hadrons

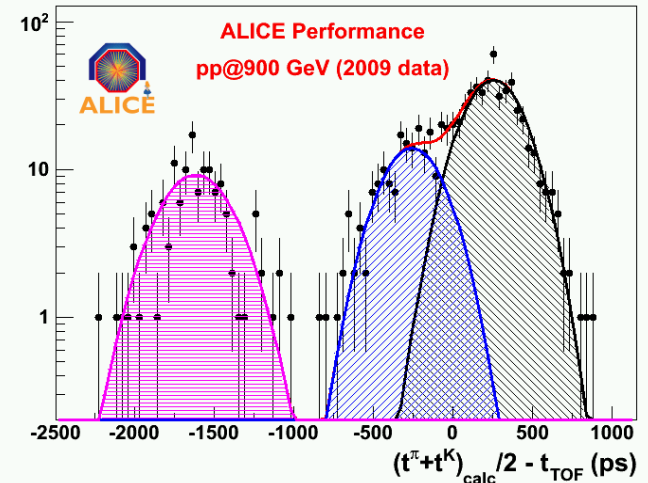
Identification via time-of-flight plus momentum measurement:



$1.00 < p_t < 1.10$  GeV/c -- positive



$1.70 < p_t < 1.80$  GeV/c -- positive

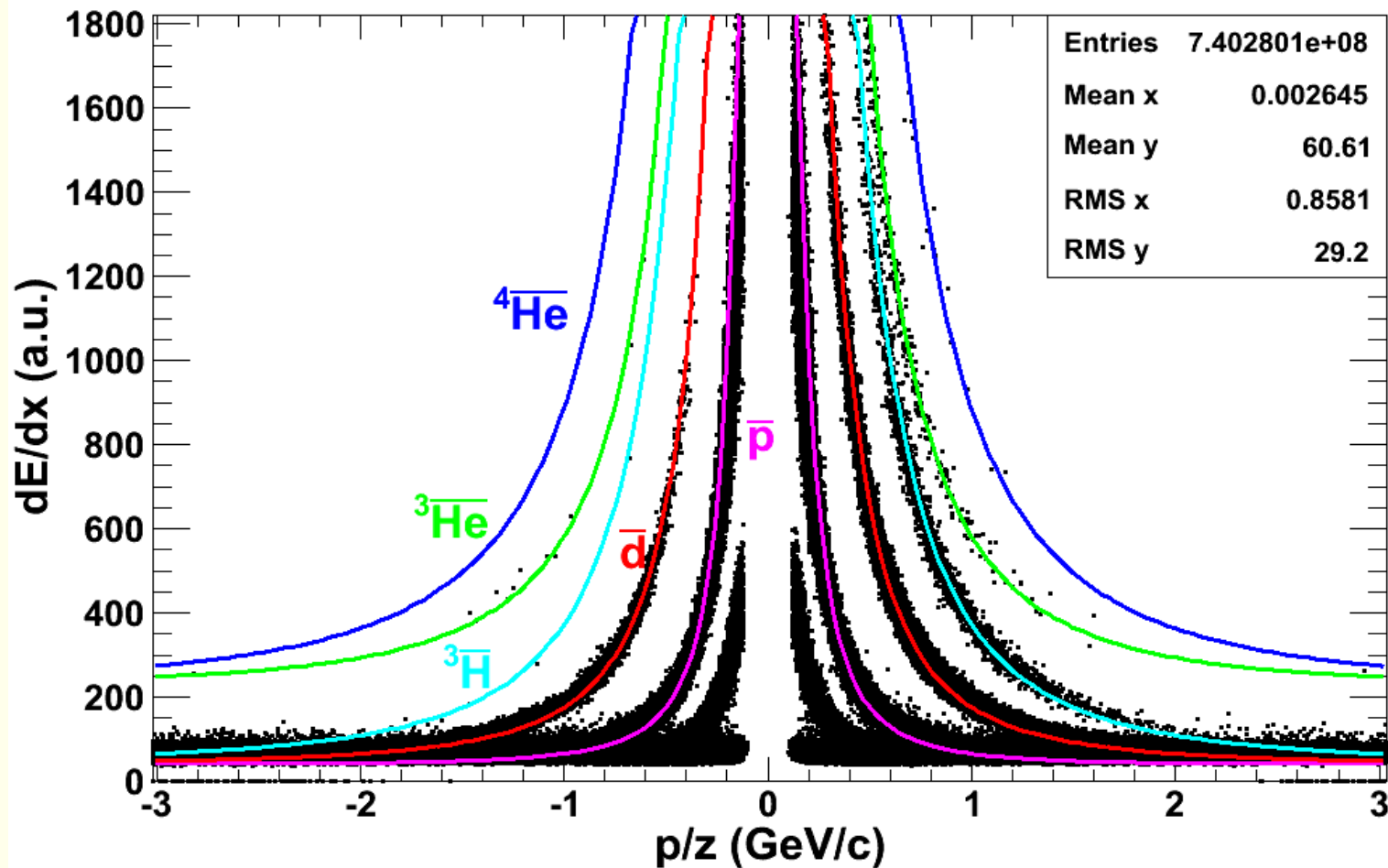


works well as long as  $\beta/c < 1$ , but then fades quickly

# How to measure production yields of identified hadrons

Identification via specific energy loss:

example: ALICE TPC 150 space points per track



# How to measure production yields of identified hadrons

Identification via invariant mass of decay products (see lecture 2)

$$\begin{aligned}M^2 &= \left[ \begin{pmatrix} E_1 \\ \vec{p}_1 \end{pmatrix} + \begin{pmatrix} E_2 \\ \vec{p}_2 \end{pmatrix} \right]^2 = (E_1 + E_2)^2 - (\vec{p}_1 + \vec{p}_2)^2 \\ &= m_1^2 + m_2^2 + 2E_1E_2 - 2\vec{p}_1 \cdot \vec{p}_2 \\ &= m_1^2 + m_2^2 + 2E_1E_2 - 2p_1p_2 \cos \vartheta\end{aligned}$$

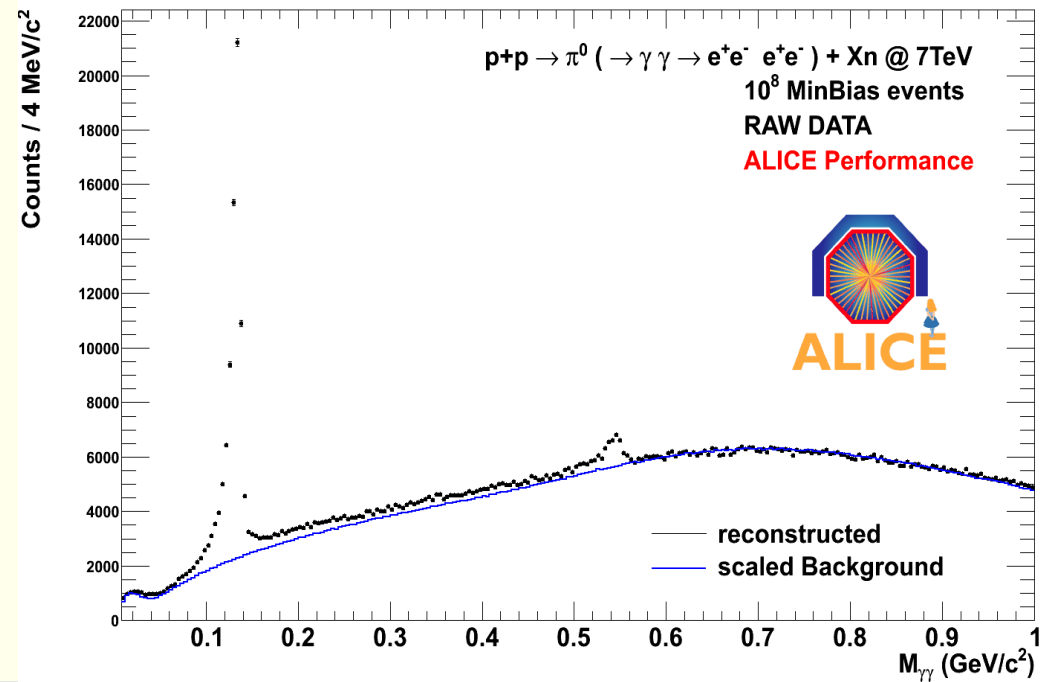
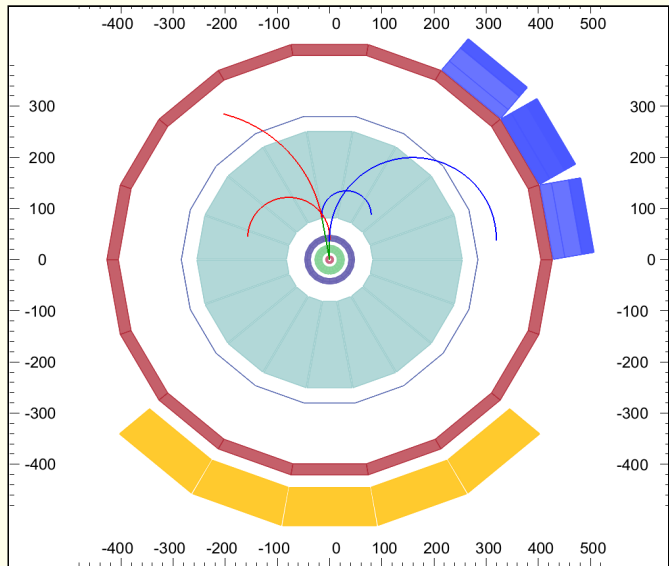
# How to measure production yields of identified hadrons

electromagnetic decays:

$$\begin{aligned} \pi^0 &\rightarrow \gamma\gamma & m_{\pi^0} &= 0.135\text{GeV}, \text{ BR} = 0.988, c\tau = 25.1 \text{ nm} \\ \eta &\rightarrow \gamma\gamma & m_{\eta} &= 0.548\text{GeV}, \text{ BR} = 0.393, c\tau = 0.2 \text{ nm} \end{aligned}$$

happen practically in the interaction point/target

detect photons in calorimeter  
or via e+e- from conversion in detector material



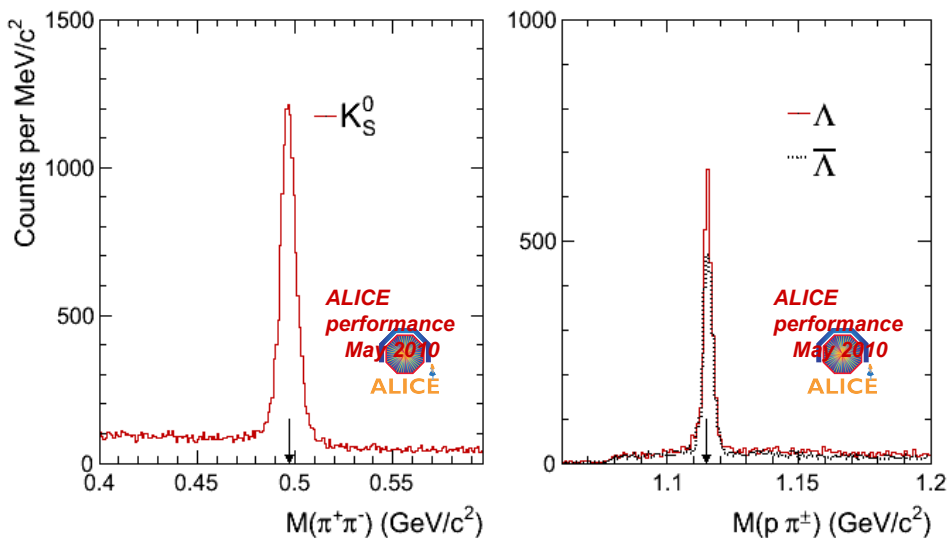
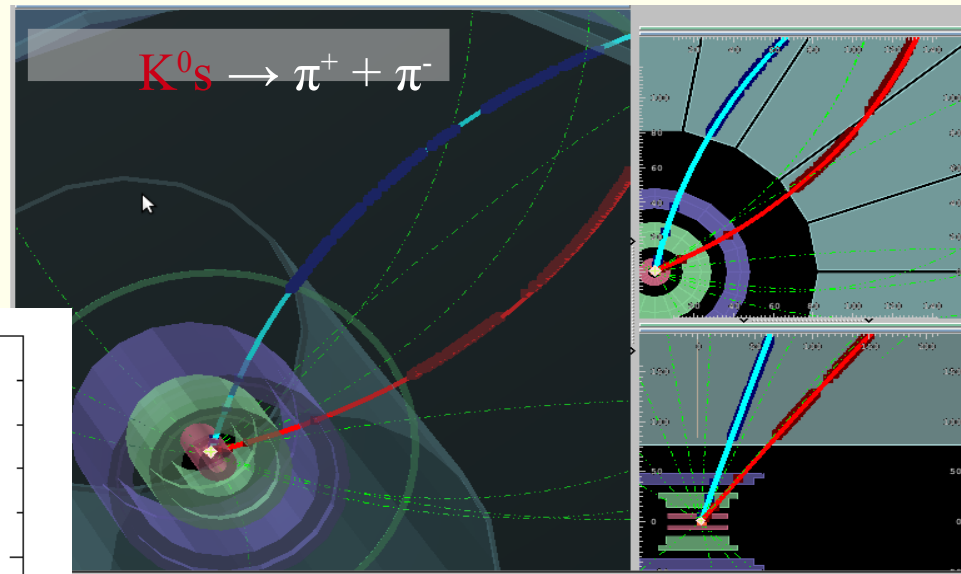
# How to measure production yields of identified hadrons

Identification via invariant mass of weak decay products

$$\begin{aligned} K_S^0 &\rightarrow \pi^+ + \pi^- & (\text{B.R.}68\%) & \quad c\tau = 2.68 \text{ cm} \\ \Lambda &\rightarrow p + \pi^- & (\text{B.R.}64\%) & \quad c\tau = 7.89 \text{ cm} \end{aligned}$$

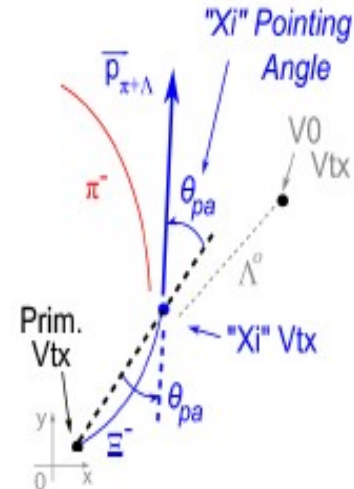
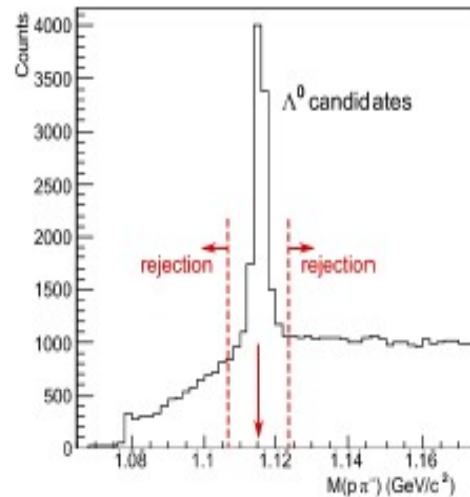
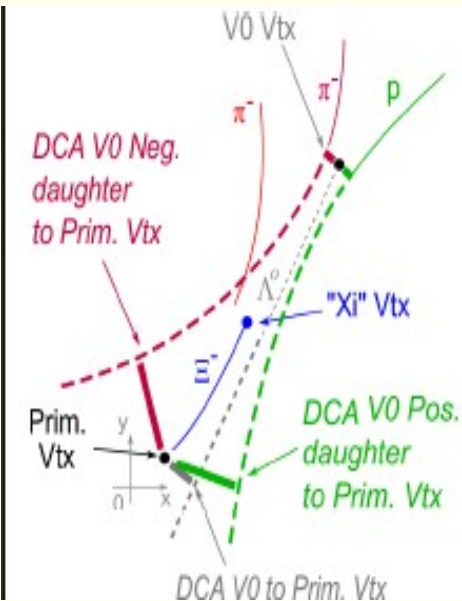
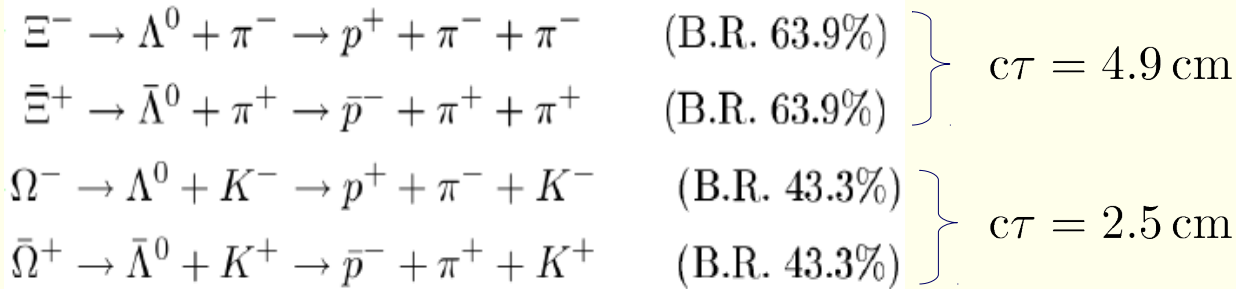
look for secondary decay vertex of a neutral object a few 10 cm away from interaction point

works up to very high momentum!



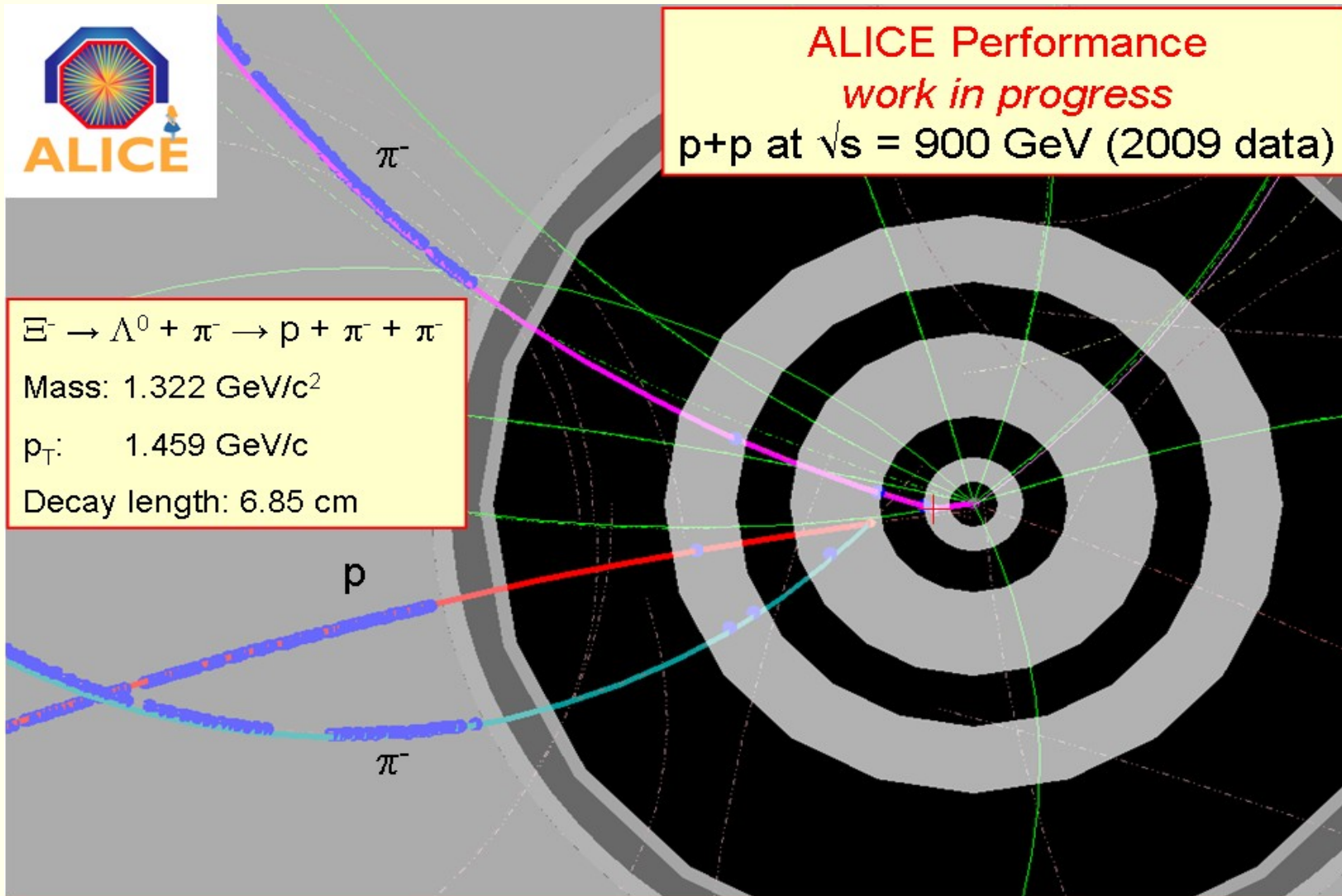
# How to measure production yields of identified hadrons

Identification via invariant mass of weak decay products



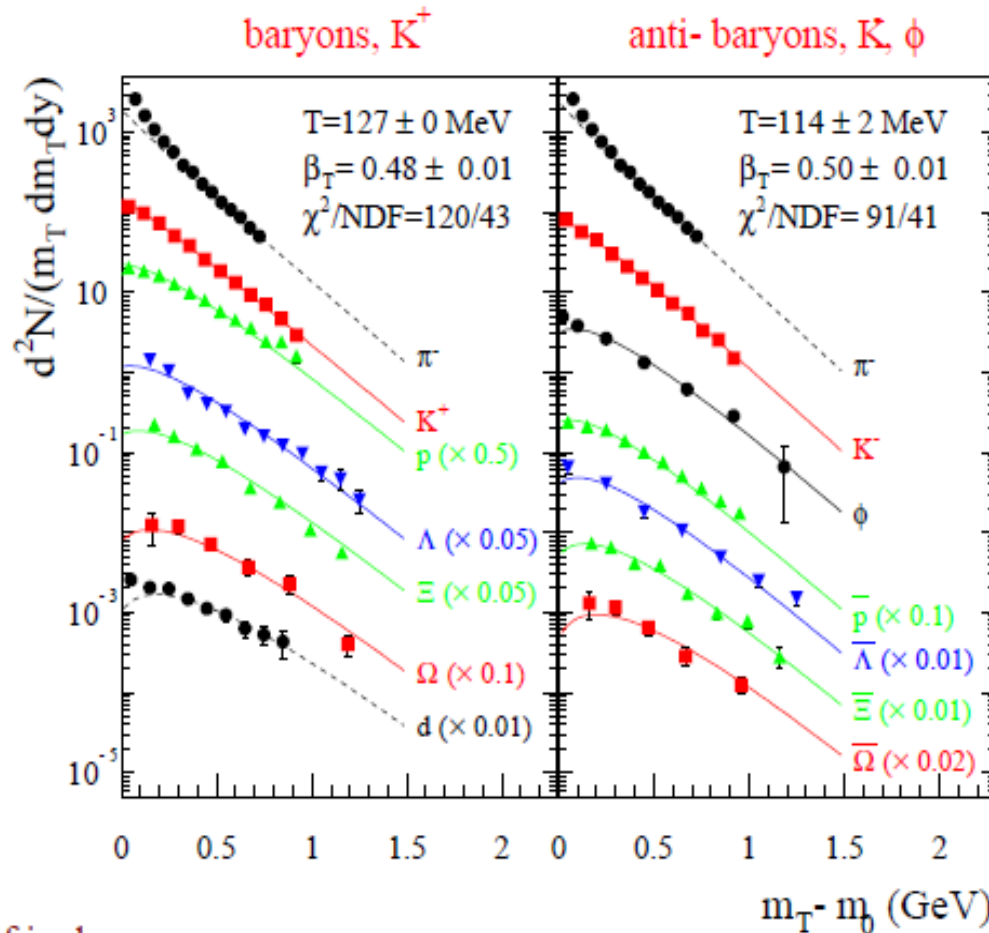
# How to measure production yields of identified hadrons

Identification via invariant mass of weak decay products



# hadron production in central PbPb collisions at the CERN SPS

NA49



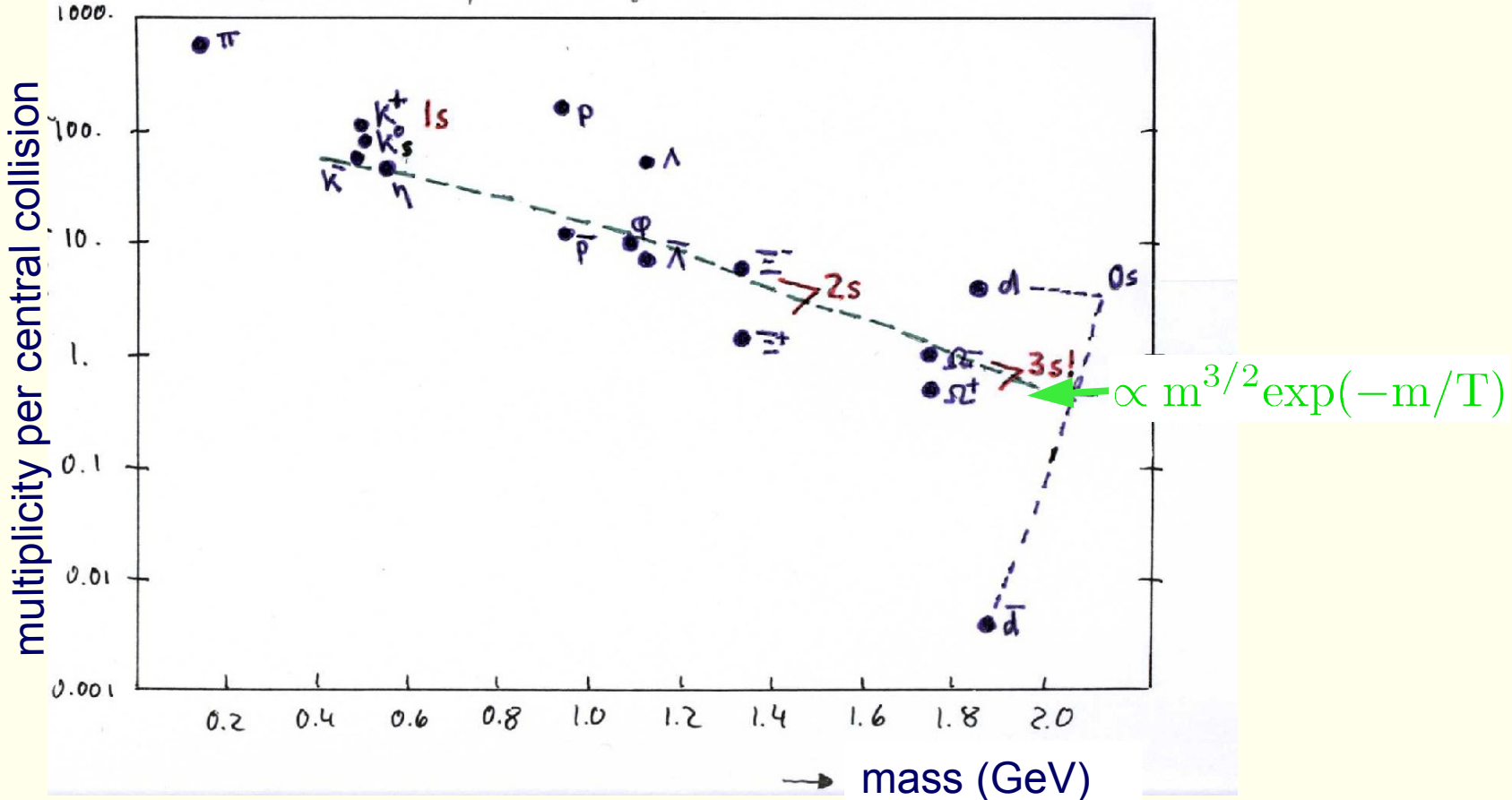
measure  $p_t$  spectra and  
 integrate/extrapolate yield  
 over all values of  $p_t$  from 0 to  
 infinity

between 5 different experiments a comprehensive data set for 158 A GeV PbPb collisions



# First look at particle multiplicities for CERN SPS PbPb central collisions

compilation of data from 6 experiments

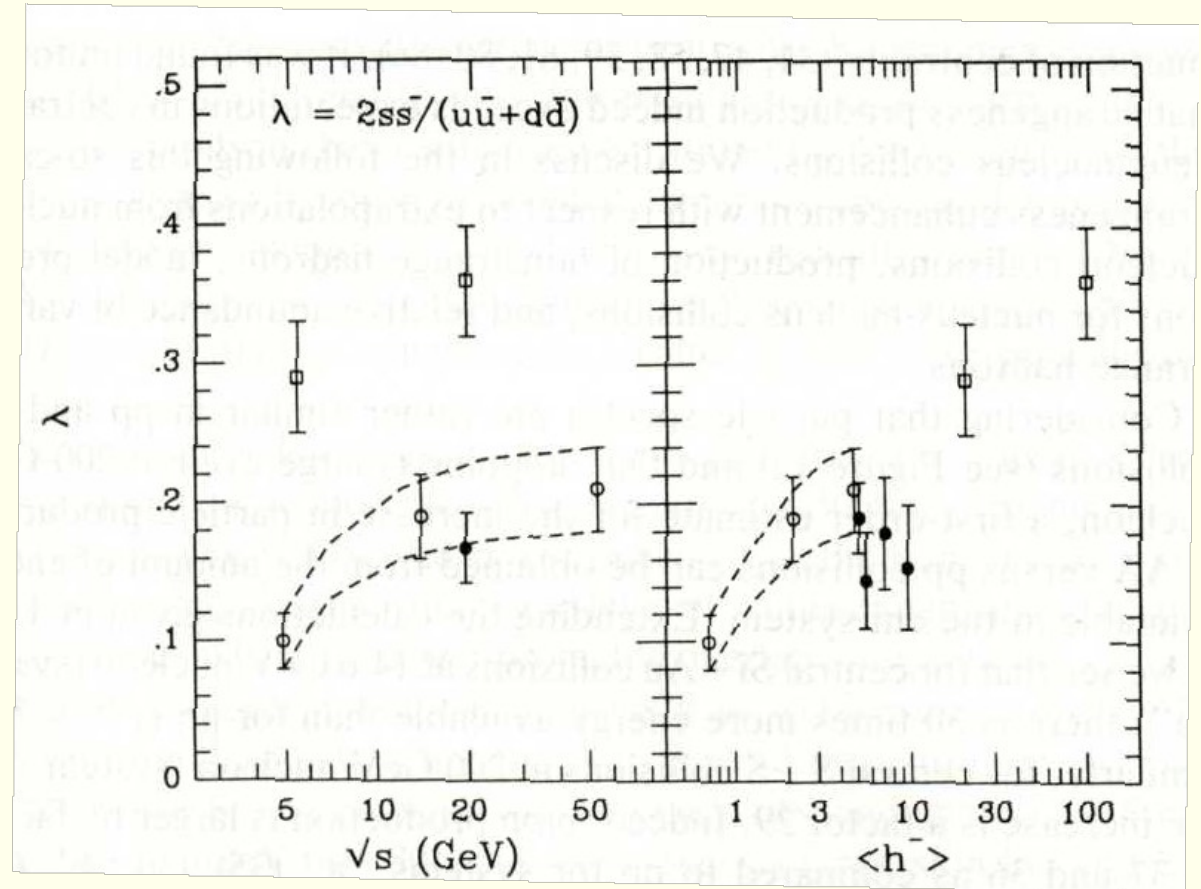


Measured multiplicities can be understood under assumption that all particles are produced simultaneously at temperature of 170 MeV

(will come to characteristic splitting of baryons and antibaryons later)

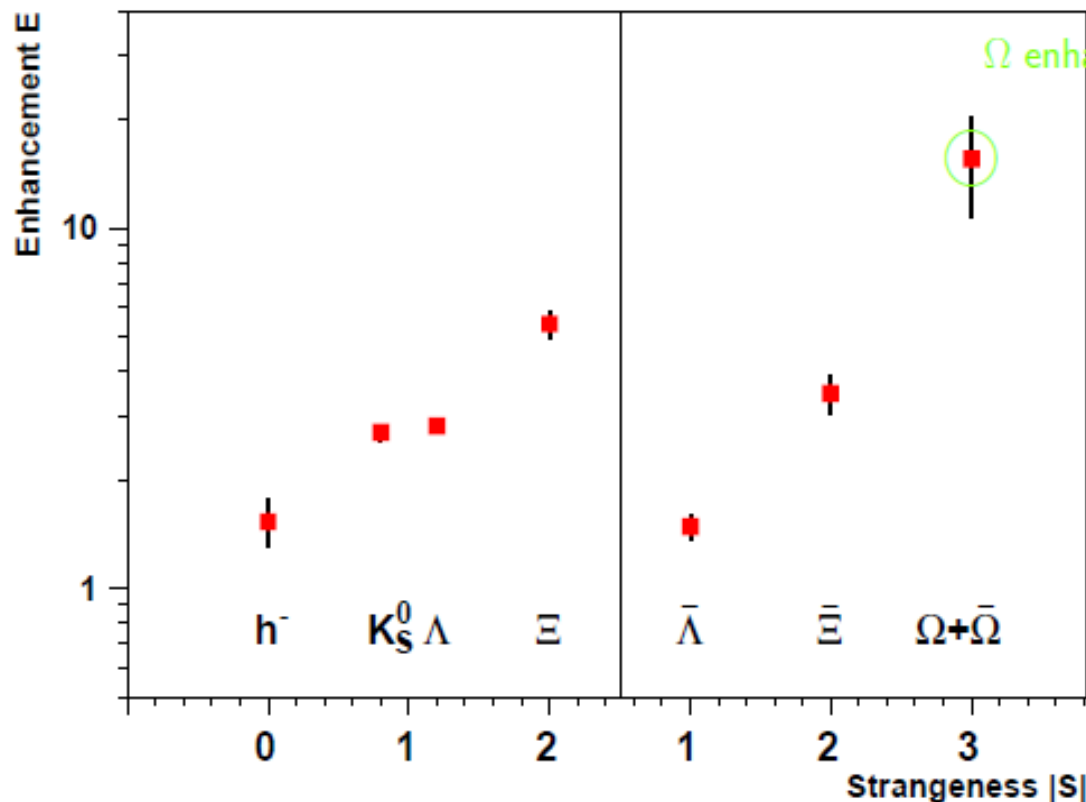
# First indication from SiSi and SS collisions:

In nuclear collisions about factor of 2 more strangeness produced than in pp



# Strangeness enhancement in PbPb collisions relative to pp

WA97, 158 A GeV/c Pb + Pb Collisions, Phys. Lett. B449 (1999) 401



$\Omega$  enhanced factor 17 in central PbPb!

$$\text{Enhancement } E = \frac{\text{yield}(\text{PbPb})/N_{\text{part}}(\text{PbPb})}{\text{yield}(\text{pBe})/N_{\text{part}}(\text{pBe})}$$

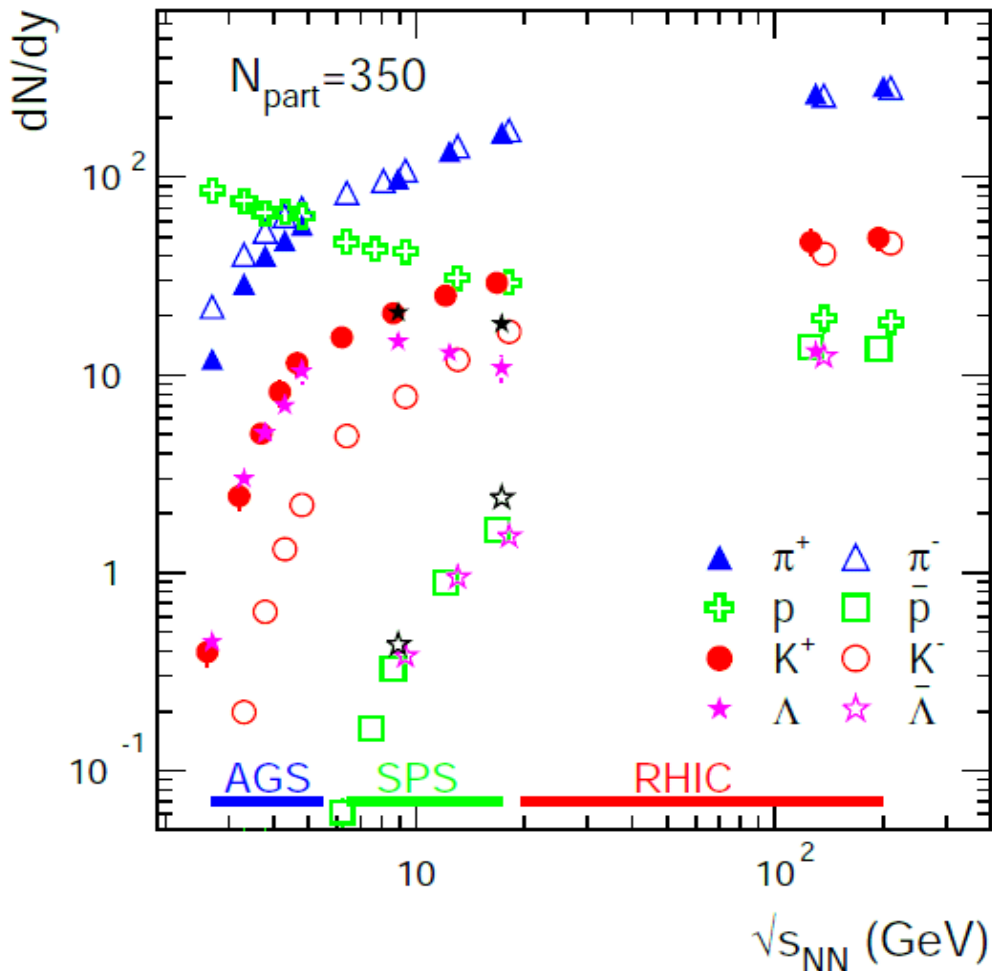
why Be target?

- i) simpler than liquid hydrogen
- ii) on average one pp or pn collision – better if isospin effects important

general feature: in high energy nuclear collisions hadrons with strange quarks produced more abundantly than in pp collisions

the enhancement grows with the number of strange valence quarks

# Particle production in central AA collisions



a summary of 15 years of experimental research

systematic trends with beam energy  
mesons rise and level off  
baryons drop  
antibaryons rise steeply

can we understand all of these?

## 5.2 Statistical model description of hadron yields

### 5.2.1 Choice of statistical ensemble

**Grand Canonical Ensemble (GC):** in large system, with large number of produced particles, conservation of additive quantum numbers ( $B, S, I_3$ ) can be implemented on average by use of chemical potential  $\mu$

→ **asymptotic realization of exact canonical approach much simpler to compute**

**Canonical Ensemble (C):** in small system, with small particle multiplicity, conservation laws must be implemented locally on event-by-event basis (Hagedorn 1971, Shuryak 1972, Rafleski/Danos 1980, Hagedorn/Redlich 1985)

severe phase space reduction for particle production “**canonical suppression**”

**Results of C and GC can be related in a simple way:** (Tounsi/Redlich 2001)

$$\langle N_K \rangle^C = \langle N_K \rangle^{GC} \underbrace{\frac{I_1(2\langle N_K \rangle^{GC})}{I_0(2\langle N_K \rangle^{GC})}}_{F_{CS}}$$

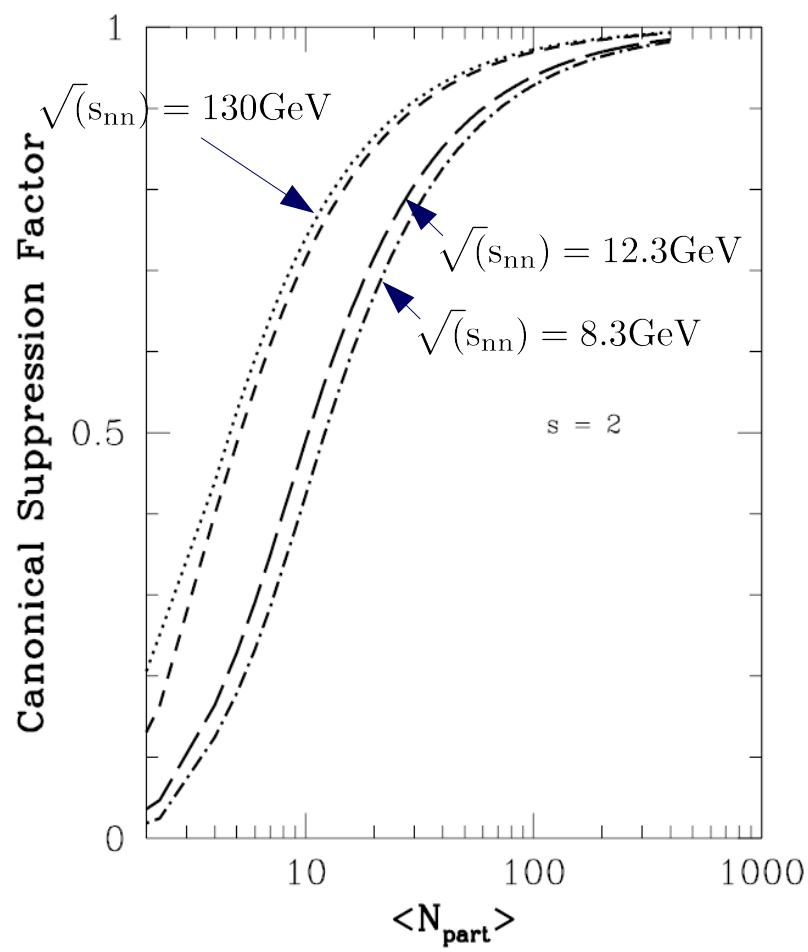
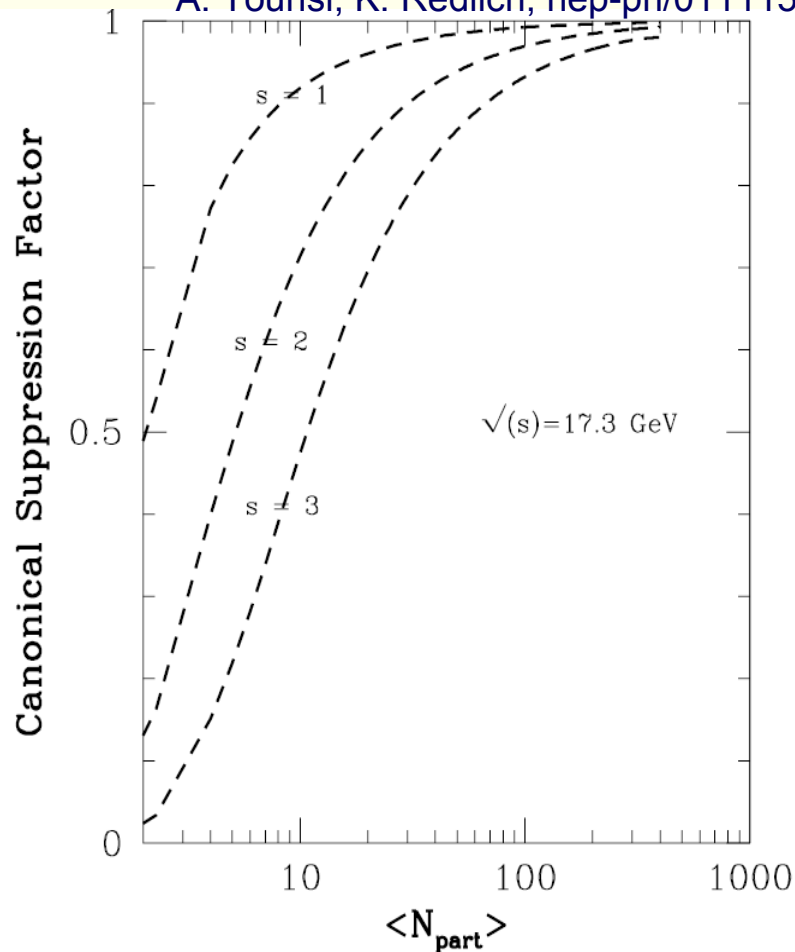
here 'K' stands generically for all hadrons with  $S = -1$

and analogously for  $S = -2$  and  $S = -3$

$$F_{CS,2} = \frac{I_2}{I_0} \quad F_{CS,3} = \frac{I_3}{I_0}$$

# Difference between computations in the canonical and grand canonical ensemble

A. Tounsi, K. Redlich, hep-ph/0111159



centrality of the collision

already for moderately central PbPb collisions (100 of possible 416 nucleons in overlap region) deviations small – 10% level for SPS energy

## **C relevant in:**

- low energy HI collisions (Cleymans/Redlich/Oeschler 1998/1999)
- very peripheral HI collisions (Hamieh, Redlich, Tounsi 2000)
- high energy hh or e+e- collisions (Becattini/Heinz 1996/1997)
- considering heavy quarks in HI collisions (see later)

## 5.2.2 Grand canonical ensemble and application to data from high energy heavy ion collisions

partition function:  $\ln Z_i = \frac{V g_i}{2\pi^2} \int_0^\infty \pm p^2 dp \ln(1 \pm \exp(-(E_i - \mu_i)/T))$

particle densities:  $n_i = N/V = -\frac{T}{V} \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp((E_i - \mu_i)/T) \pm 1}$

for every conserved quantum number there is a chemical potential:

$$\mu_i = \mu_B B_i + \mu_S S_i + \mu_{I_3} I_i^3$$

but can use conservation laws to constrain  $V, \mu_S, \mu_{I_3}$

baryon number:  $V \sum_i n_i B_i = Z + N \quad \rightarrow V$

strangeness:  $V \sum_i n_i S_i = 0 \quad \rightarrow \mu_S$

charge:  $V \sum_i n_i I_i^3 = \frac{Z - N}{2} \quad \rightarrow \mu_{I_3}$

only 2 free parameters left

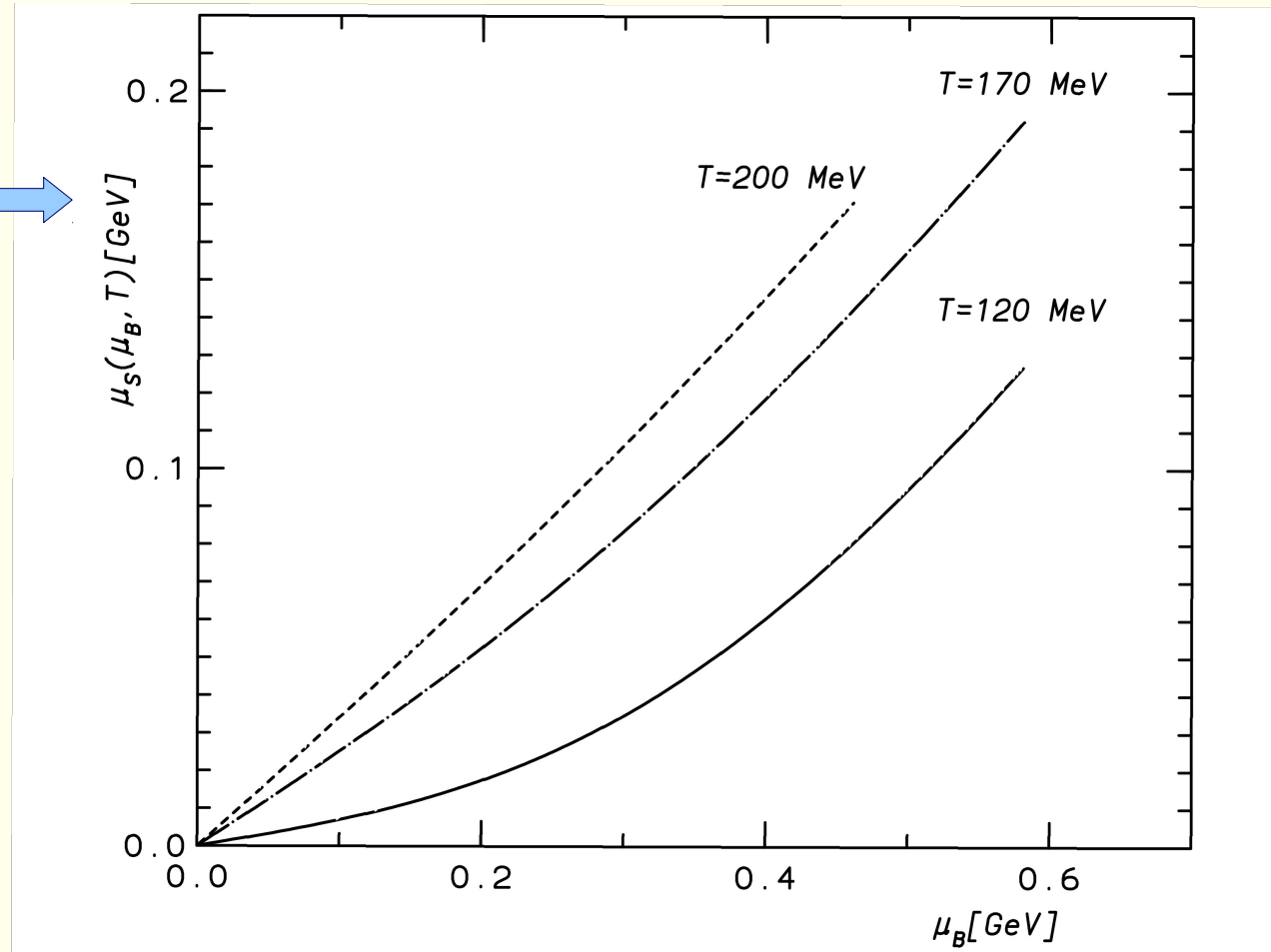
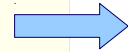


Fit at each energy provides values for T and  $\mu_b$



# Dependence of $\mu_s$ on $T, \mu_b$

for every pair of  $T, \mu_b$   
there is only one value of  
 $\mu_s$  that ensures total  
strangeness  $S = 0$   
not a free parameter at all



## Some technical details:

van der Waals type interaction via excluded volume correction  
a volume is assigned to each hadron and densities have to be corrected  
good guess:  $r=0.3$  fm to account for hard core nucleon-nucleon repulsion  
Thermodynamically consistent treatment following Rischke, Gorenstein, Stöcker, Greiner, 1991

$$p^{excl.}(T, \mu) = p^{id.gas}(T, \hat{\mu}); \quad \text{with } \hat{\mu} = \mu - v_{eigen} p^{excl.}(T, \mu)$$

finite volume correction a la Balian and Bloch

$$f = 1 - \frac{3\pi}{4pR} + \frac{1}{(pR)^2}$$

width of all resonances included by integrating over Breit-Wigner distributions  
Weinhold, Friman, Nörenberg, 1996

$$\ln Z_R = N \frac{V d_R}{2\pi^2} T \exp[\mu/T] \int_{s_{min}}^{s_{max}} ds s K_2(\sqrt{s}/T) \frac{1}{\pi} \frac{m_R \Gamma_R}{(s - m_R^2)^2 + m_R^2 \Gamma_R^2}$$

For a review see: Braun-Munzinger, Redlich, Stachel, in QGP3,  
R. Hwa ed. (Singapore 2004) 491-599; nucl-th/0304013

# Comparison to experimental data

compute primary thermal occupation probability for each particle species

spectrum of hadrons involves for state-of-the-art calculation 426 hadronic species (PDG2008)

beyond 3 GeV mass knowledge still very incomplete (effects see later)

implement all strong decays according to PDG

(example: for  $T=160$  MeV, 80% of all pions come from strong decays)

do experimental data include weak decays? If yes, do same in calculation

compute for a grid of  $(T, \mu_b)$   $\chi^2$  between statistical ensemble calculation and data

note: ratios of particle yields may have smaller systematic errors

data sets from different experiments may not correspond to exactly the same collisions centrality, correct for this

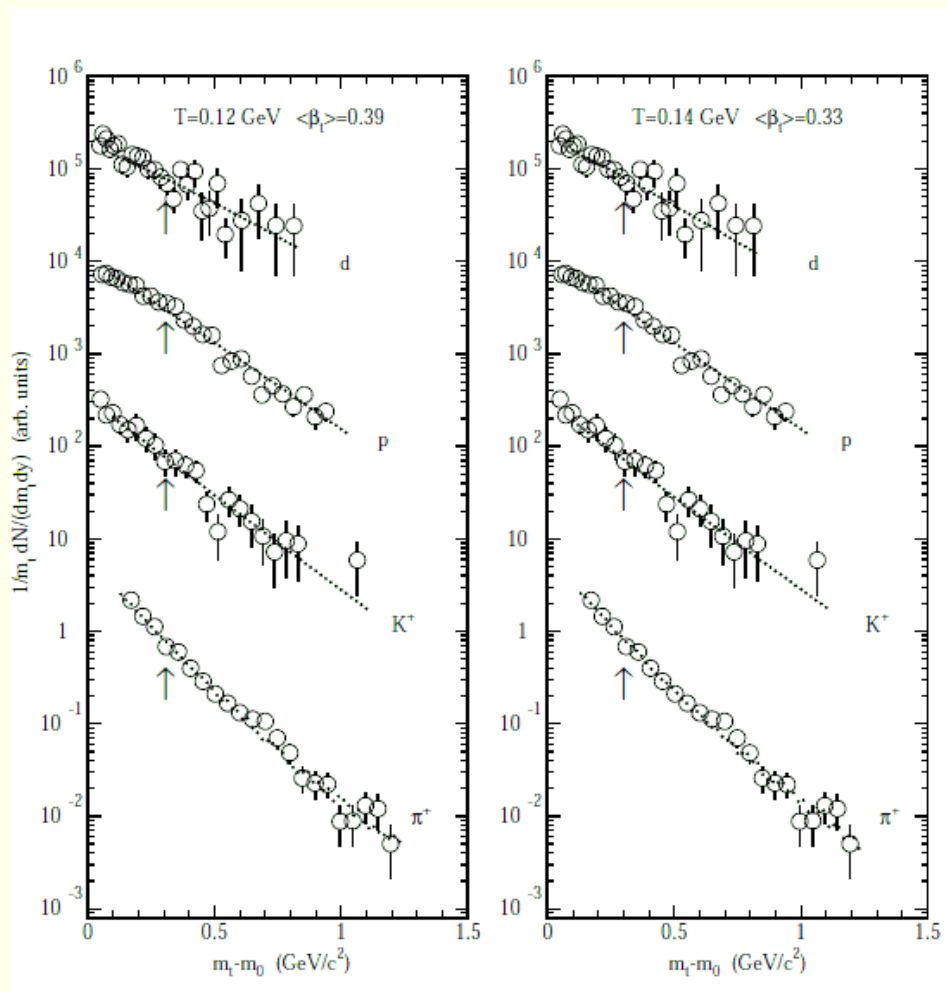
minimize  $\chi^2$  to obtain for each beam energy and collision system best set  $(T, \mu_b)$

# First thermal model results in 1994 for AGS Si+Au data

Integrate hadron spectra over  $p_t$  and rapidity

pion spectra exhibit increase at low  $p_t$  due to decay of Delta resonance

understanding of spectral shapes in chapter 6 (interplay of temperature, collective expansion and decays)

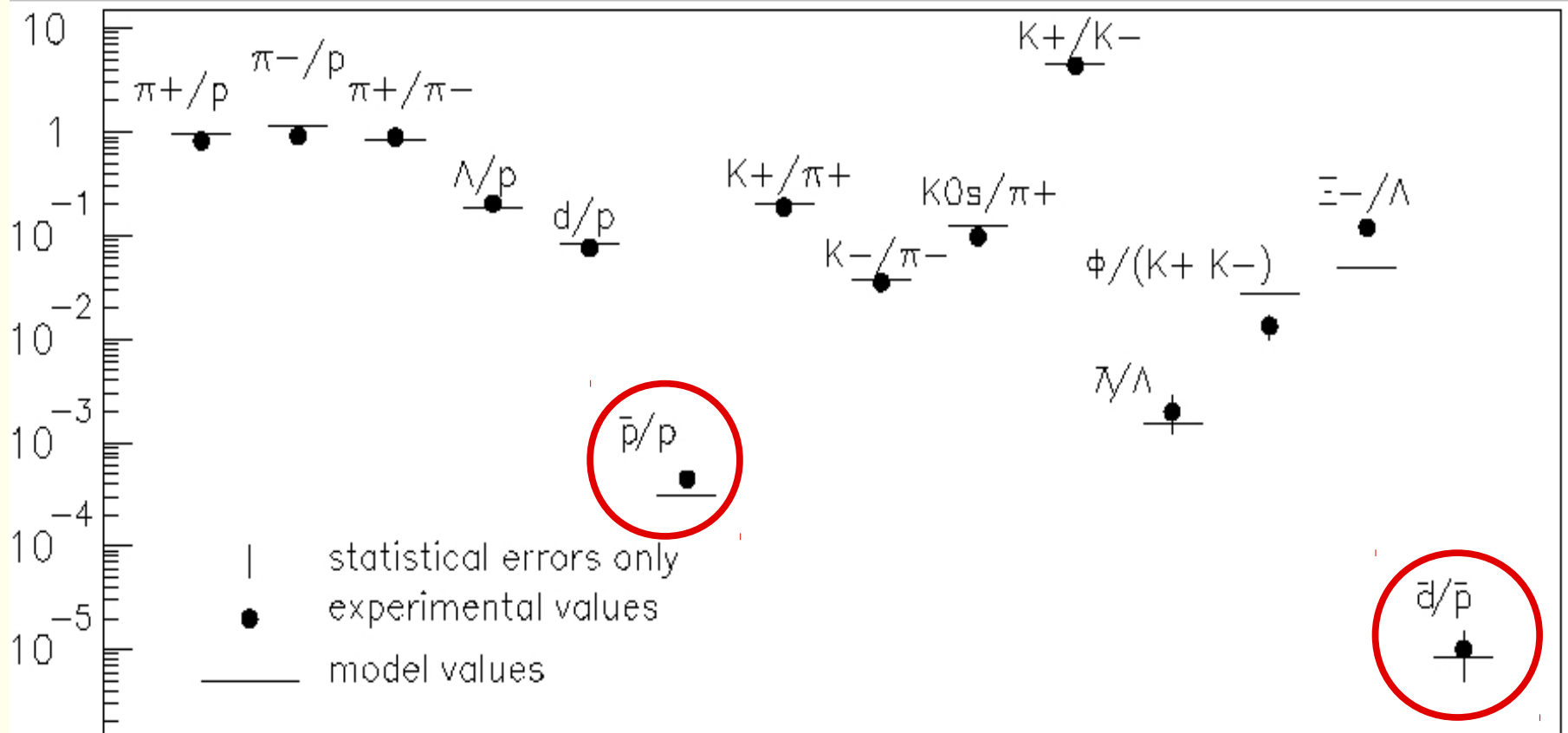


# Fit to AGS Data – reproduces yields for strange and nonstrange hadrons down to $d\bar{b}$

14.6 A GeV/c central Si + Au collisions and GC statistical model

$T = 120$  MeV,  $\mu_b = 540$  MeV

P. Braun-Munzinger, J. Stachel, J.P. Wessels, N. Xu, PLB 344 (1995) 43; nucl-th/9410026

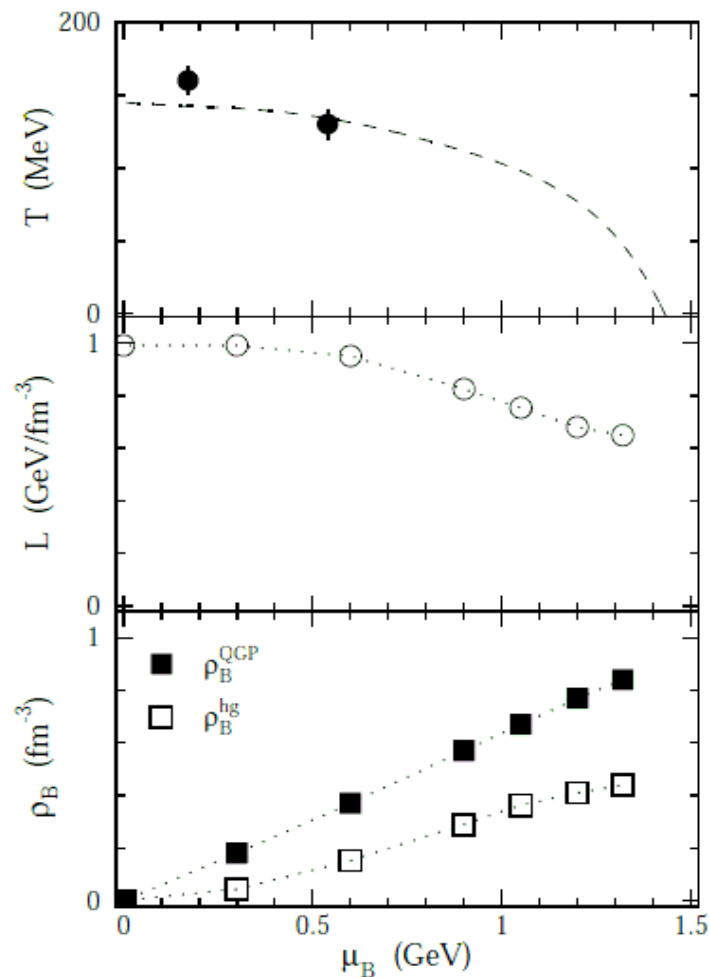


dynamic range: 9 orders of magnitude!

# First attempt to establish connection to phase boundary

equation of state from a bag model,  
transition 1<sup>st</sup> order by construction  
(see above)

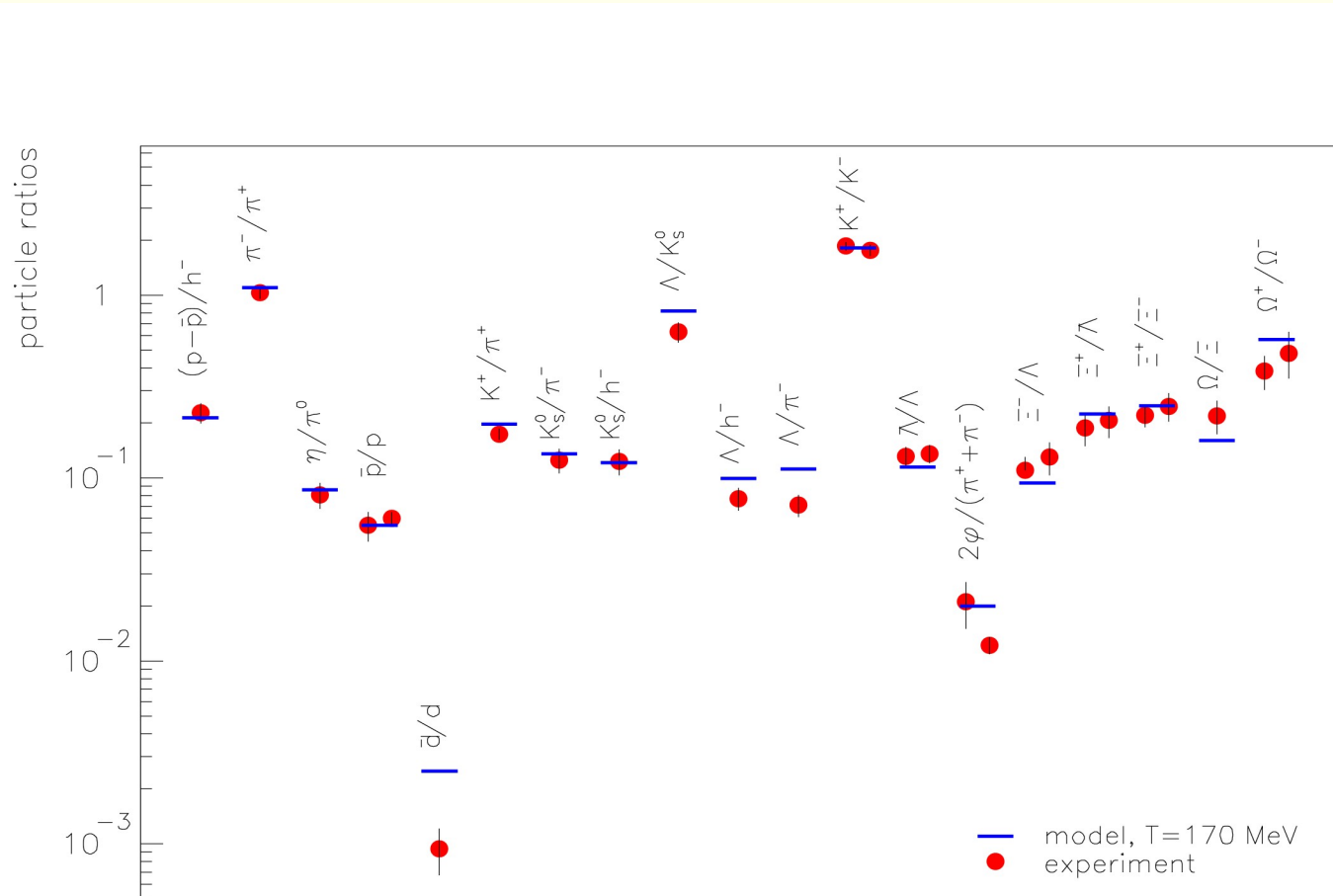
only 2 data points at AGS and SPS  
looks at this for many more collisions  
systems in the following



Braun-Munzinger, Stachel, Phys. Lett. B365 (1996) 1

# CERN SPS data: 158 A GeV/c Pb Pb collisions

good fit with:  $T = 0.170 \pm 0.005 \text{ GeV}$   $\mu_b = 0.255 \pm 0.010 \text{ GeV}$

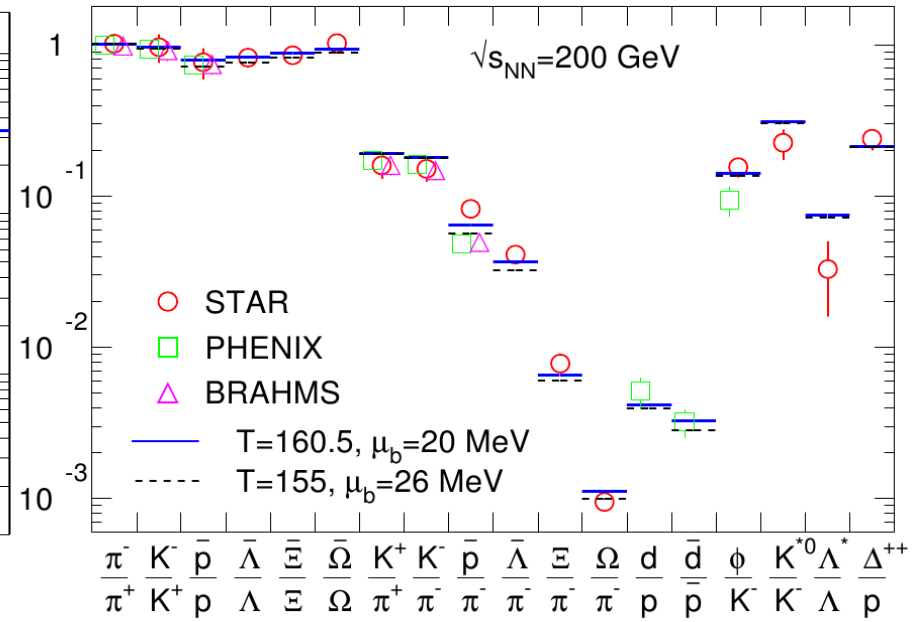
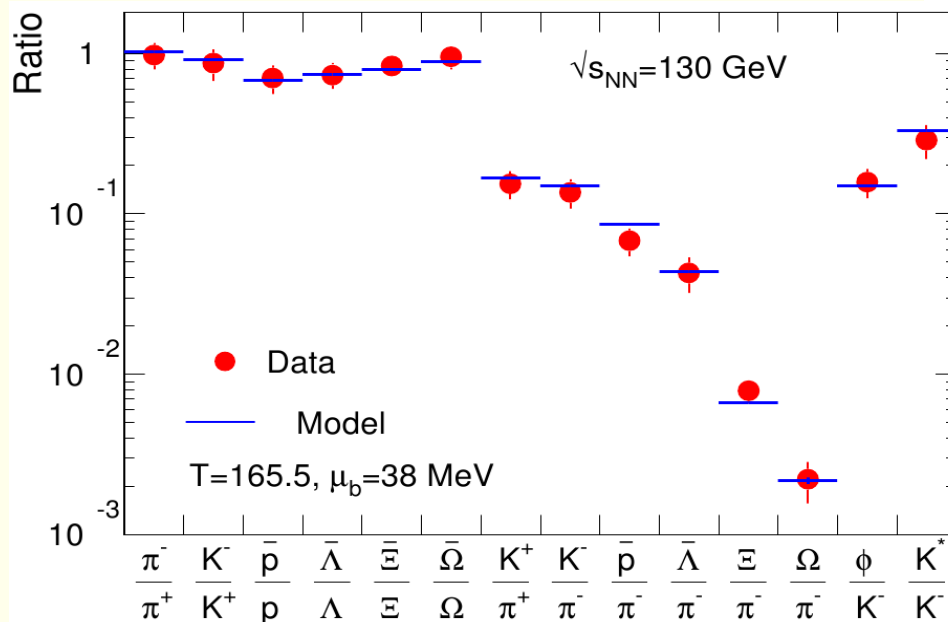


P. Braun-Munzinger, I. Heppe, J. Stachel, PLB 465 (1999) 15  
and reanalysis 2004 with more data

# hadron yields at RHIC compared to statistical model (GC)

130 GeV data in excellent agreement with thermal model predictions

prel. 200 GeV data fully in line still some experimental discrepancies

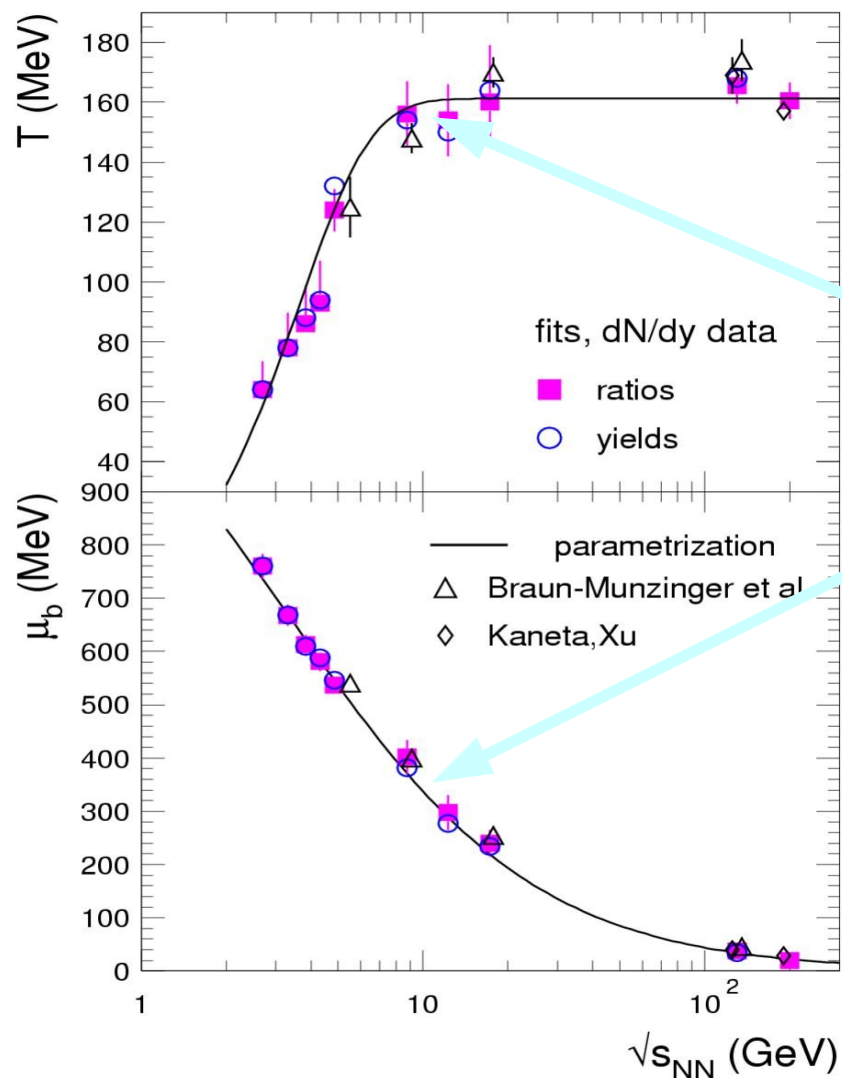


chemical freeze-out at:  $T = 165 \pm 5$  MeV

P. Braun-Munzinger, D. Magestro, K. Redlich, J. Stachel, Phys. Lett. B518 (2001) 41  
 A. Andronic, P. Braun-Munzinger, J. Stachel, Nucl. Phys. A772 (2006) 167



# systematic beam energy dependence of hadro-chemical freeze-out parameters



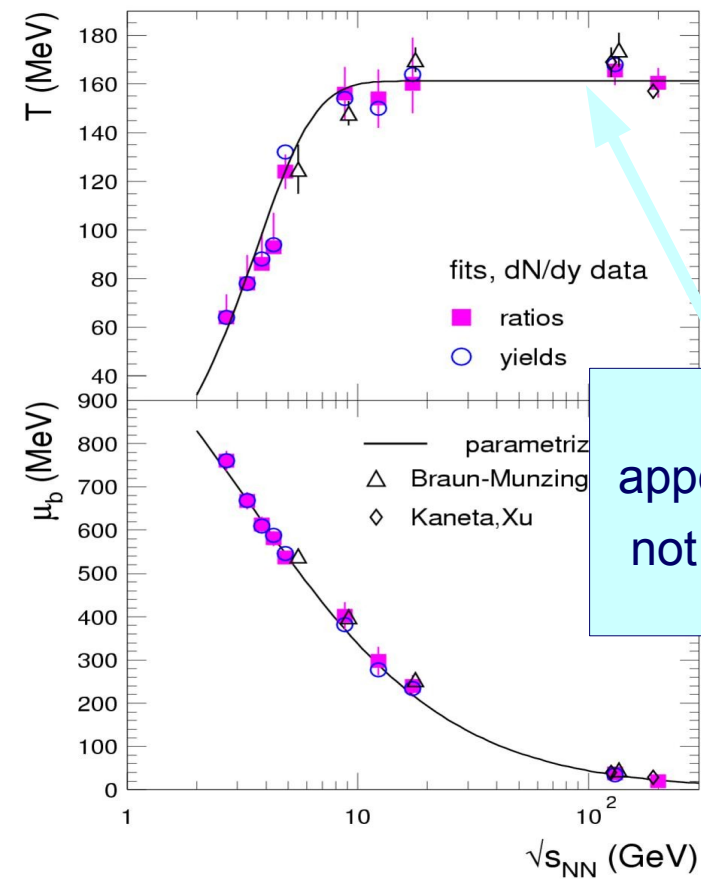
$T_{\text{chem}}$  saturates at  $\sqrt{s_{\text{NN}}}$  of about 10 GeV

baryon chemical potential decreases monotonically with beam energy

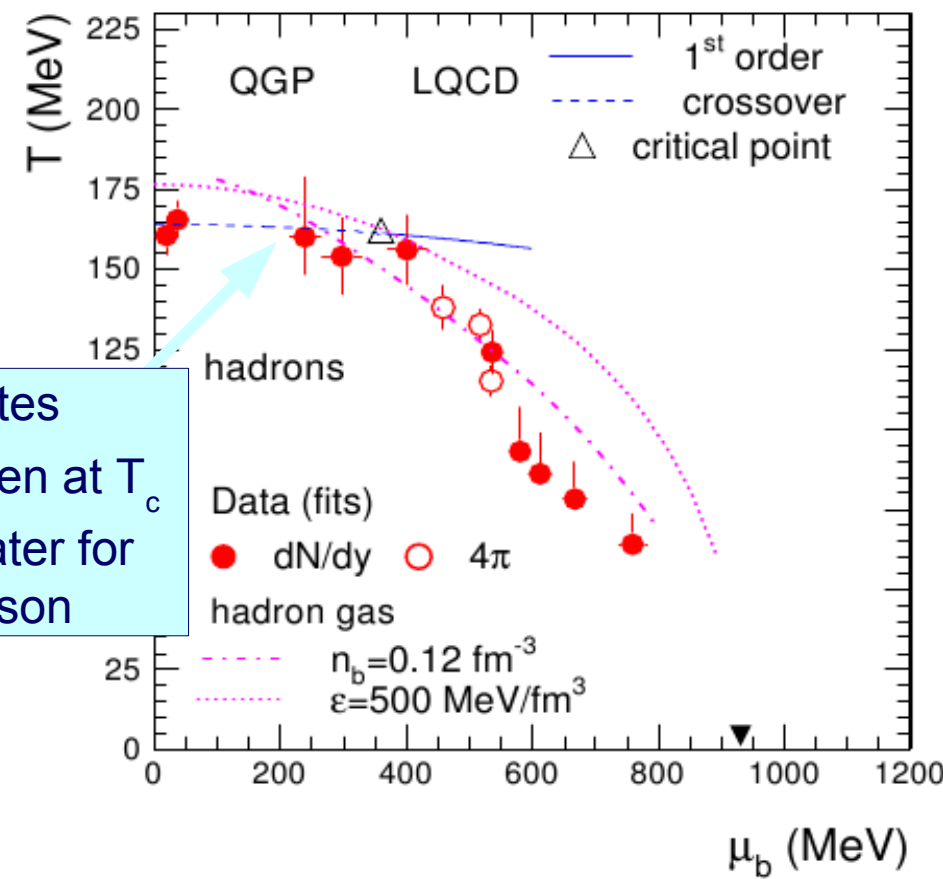
- nuclei become transparent
- empirically rapidity shift of 2 units for a nucleon in pA and AA collisions
- increasing importance of pbarp production

# hadrochemical freeze-out points and the phase diagram

A. Andronic, P. Braun-Munzinger, J. Stachel, Nucl. Phys. A772 (2006) 167



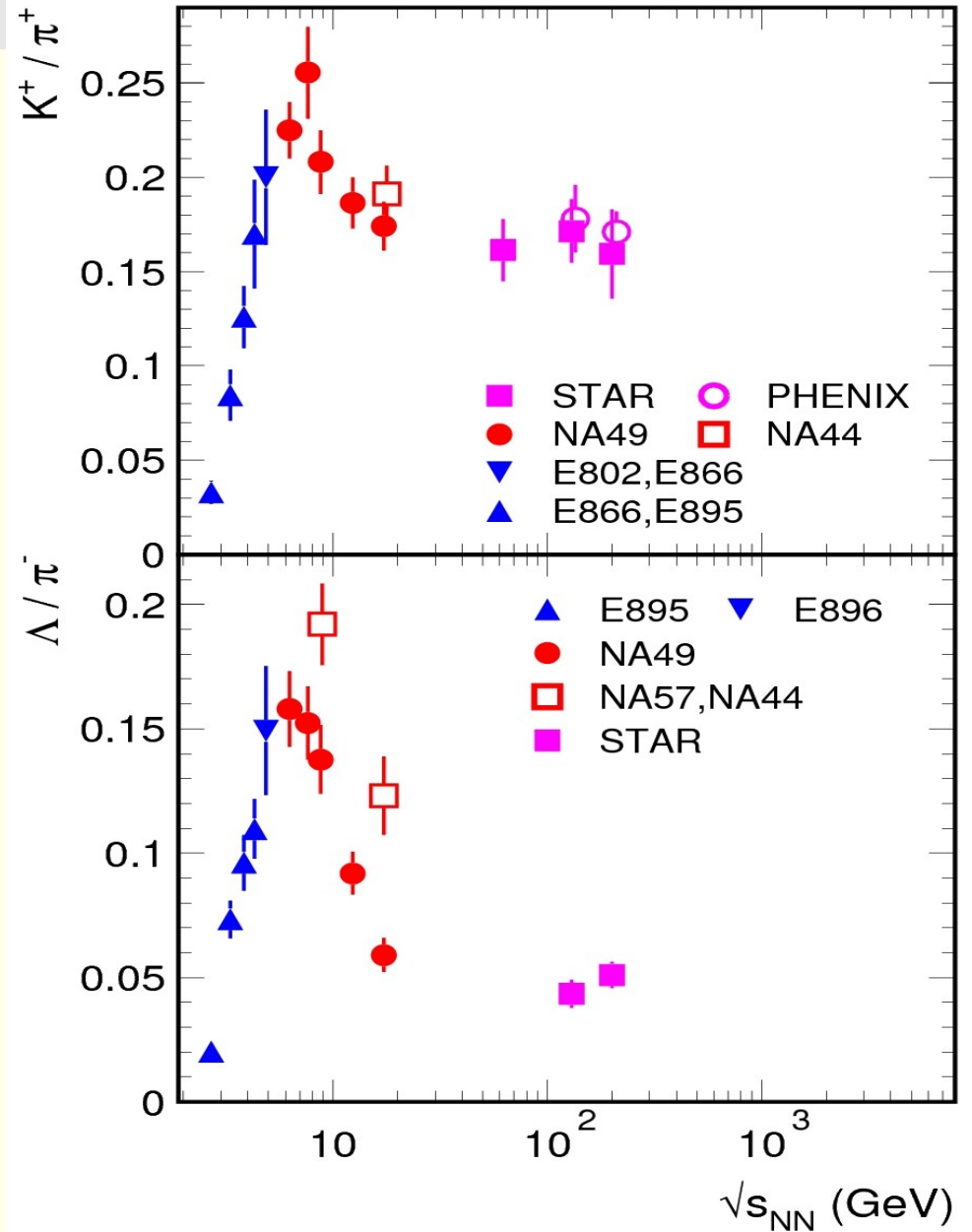
$T_{chem}$  saturates  
appears to happen at  $T_c$   
not trivial; see later for  
possible reason



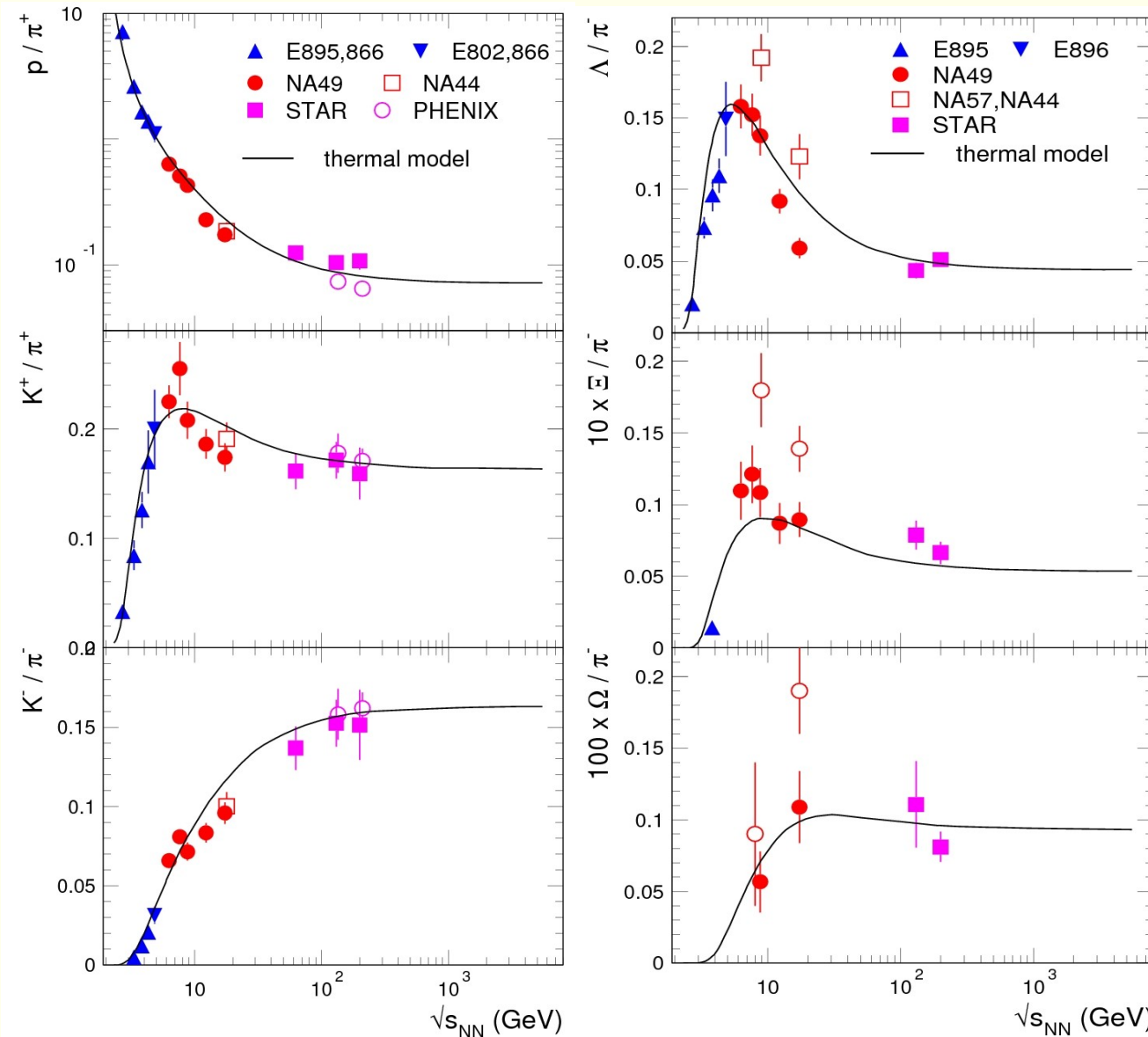
in general good fits to all central heavy ion collision data

# The horn

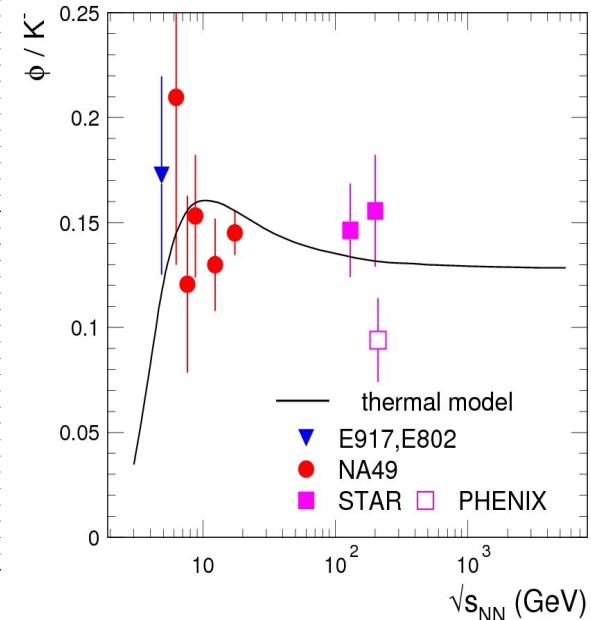
pronounced maxima in some  
hadronic yield ratios of specific  
beam energy in region of low SPS  
energies  
origin?  
anything new happening here?



# Specific beam energy dependence of hadron production well reproduced



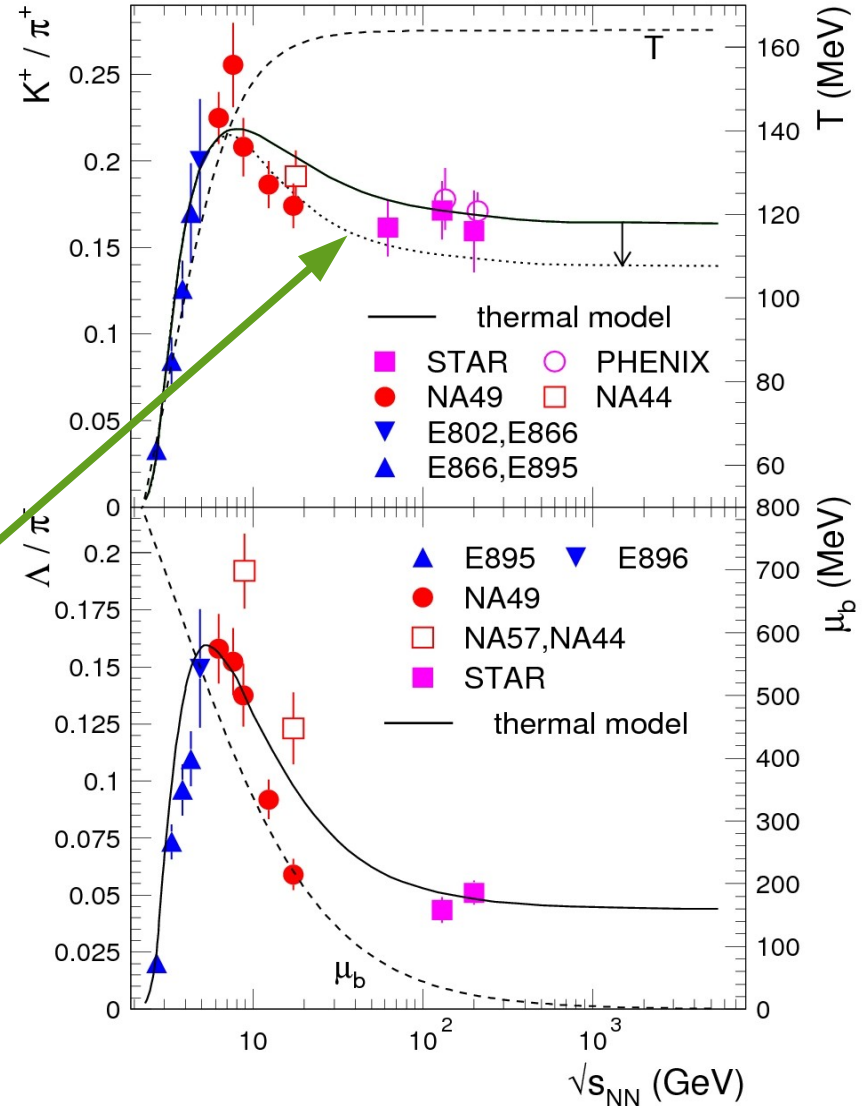
origin of maxima:  
 increase and saturation  
 of  $T$  and monotonic  
 decrease of  $\mu_b$   
 very sensitive to location  
 of freeze-out curve!



# Effect of still incomplete knowledge of hadron spectrum

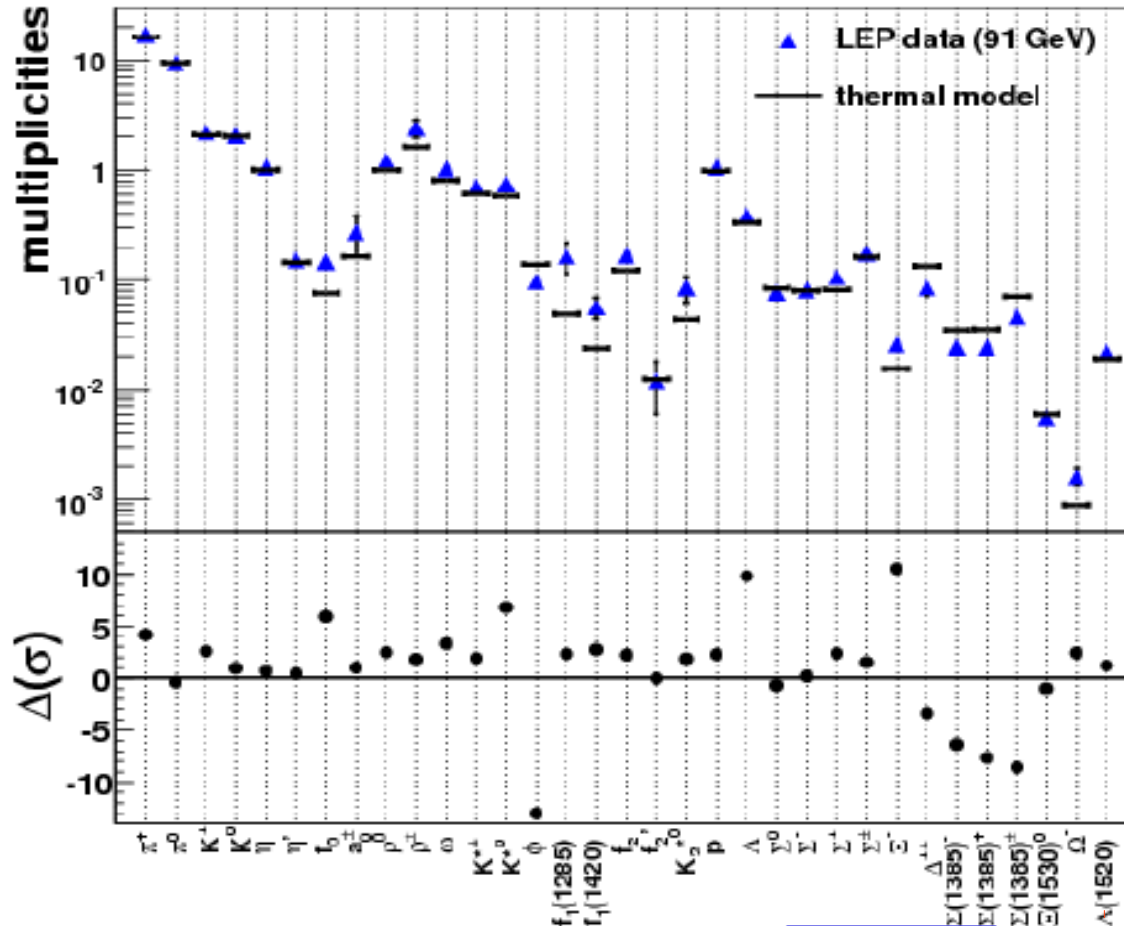
based on Hagedorn spectrum:  
 estimate effect by extending mass  
 spectrum beyond 3 GeV based on  
 $T_{\text{Hagedorn}} = 200 \text{ MeV}$  and  
 assumption how states decay

strongest contribution to kaon  
 from  $K^*$  producing one K  
 all high mass resonances produce  
 multiple pions  
 -> further reduction of  $K^+/\pi^+$   
 similar expectation for  $p/\pi$



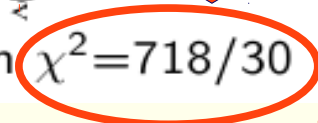
# Revisit e+e- collisions: initialize thermal model with u,d,s,c,b – jets according to measurement (weak isospin)

A. Andronic, P. Braun-Munzinger, F. Beutler, K. Redlich, J. Stachel, Phys. Lett. B678 (2009) arXiv 0804.4132



Message: in e+e- some thermal features in fragmentation of jets when quark abundancies used as input but strangeness is suppressed – fit still not good!

parameter set:  $T=164$  MeV,  $V=20$  fm<sup>3</sup>,  $\gamma_s=0.72$  with  $\chi^2=718/30$



# Production of light nuclei and antinuclei in nuclear collisions at the AGS

data cover 10 oom!

addition of every nucleon

-> penalty factor  $R_p = 48$

but data are at very low pt

use m-dependent slopes

following systematics up to

deuteron

->  $R_p = 26$

GC statistical model:

$$R_p \approx \exp[(m_n \pm \mu_b)/T]$$

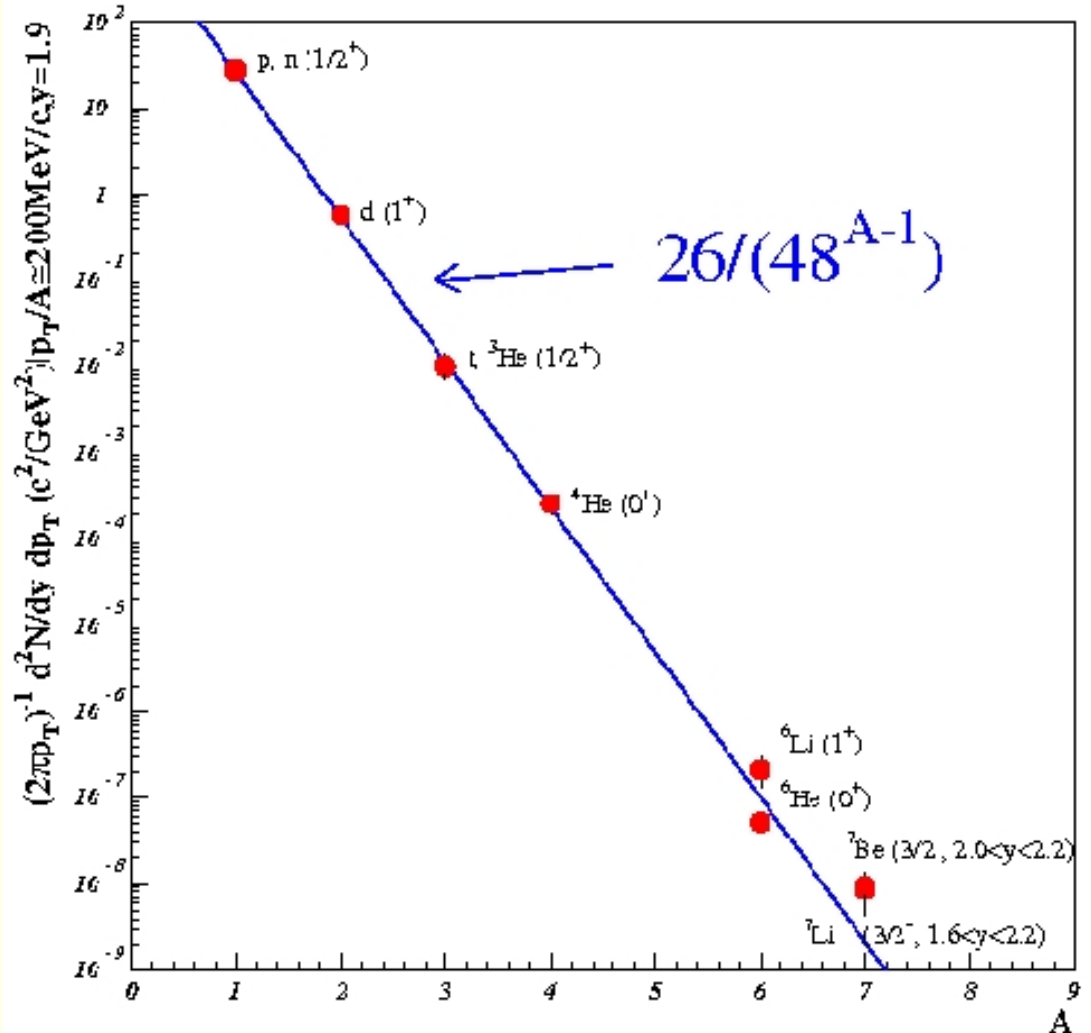
for  $T=124$  MeV and  $\mu_b = 537$  MeV

$R_p = 24$  good agreement

also good for **antideuterons**:

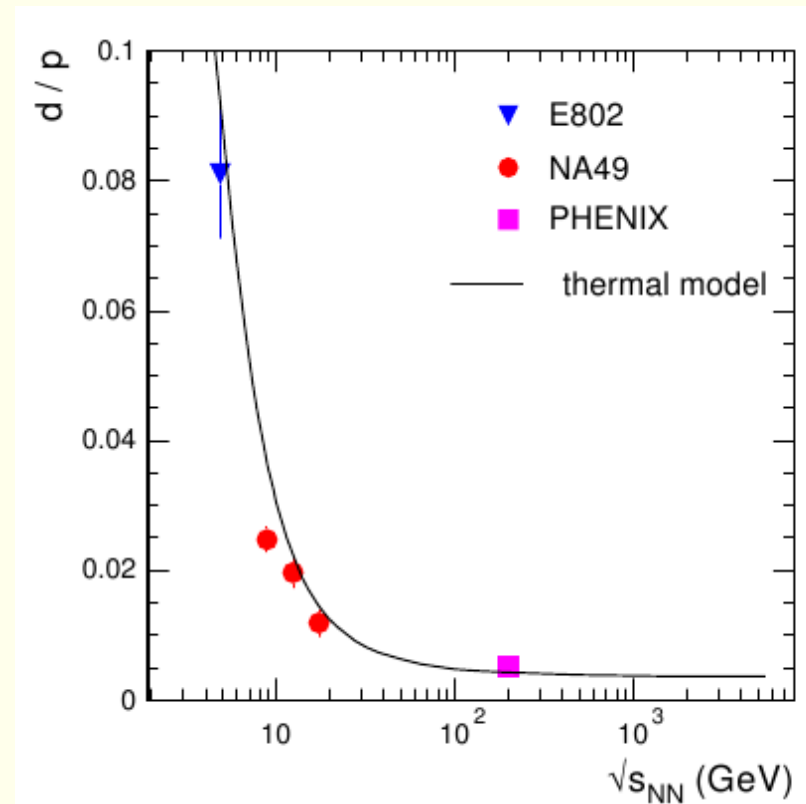
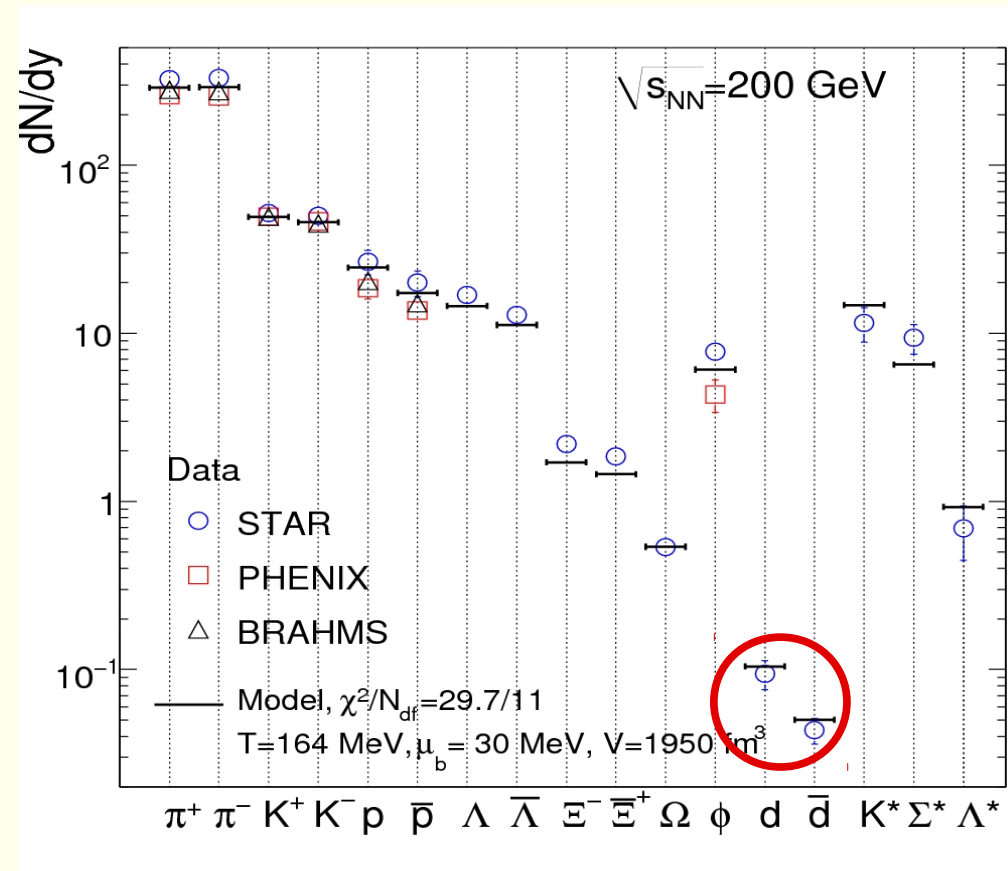
data:  $R_p = 2 \pm 1 \cdot 10^5$  SM:  $1.3 \cdot 10^5$

E864 Coll., Phys. Rev. C61 (2000) 064908



at RHIC description equally good where data exist

- beam energy dependence driven by  $\mu_b$





# can statistical model reproduce mass 3 antinuclei and antihypernuclei?

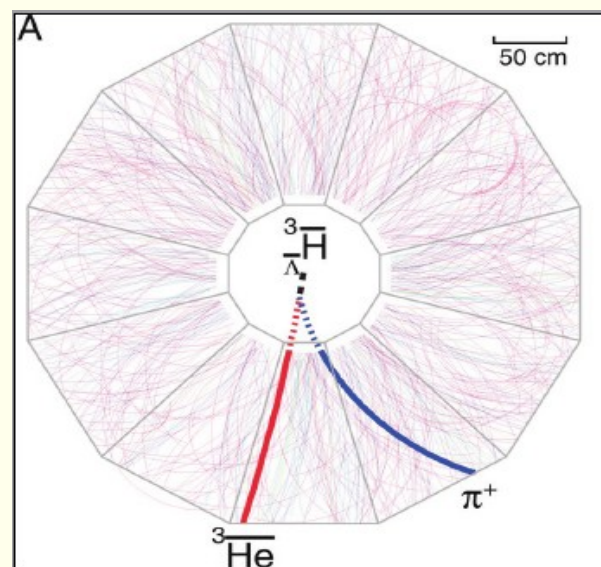
comparing mass 3 nuclei and antinuclei -> extreme sensitivity to  $\mu_b$

$$Y(^3\bar{H}e)/Y(^3He) = \exp(-6\mu_b/T)$$

revisit fit:  $\mu_b = 24 \pm 2$  MeV (instead of  $30 \pm 4$  MeV without mass 3)

most precise determination of baryon chemical potential!

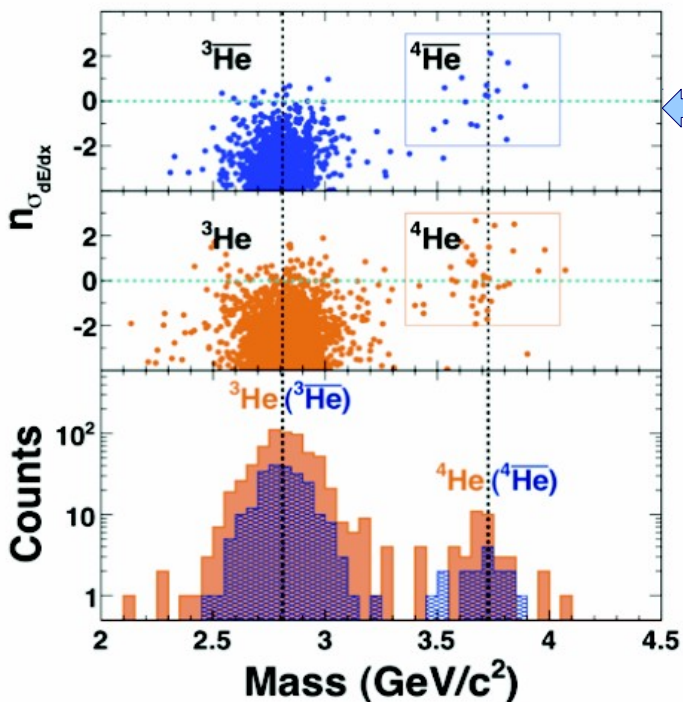
Ratio	Exp. (STAR)	Model
$^3\bar{H}e/^3He$	$0.45 \pm 0.02 \pm 0.04$	0.44
$^3_{\Lambda}\bar{H}/^3_{\Lambda}H$	$0.49 \pm 0.18 \pm 0.07$	0.46
$^3_{\Lambda}H/^3He$	$0.82 \pm 0.16 \pm 0.12$	0.35
$^3_{\Lambda}\bar{H}/^3\bar{H}e$	$0.89 \pm 0.28 \pm 0.13$	0.37



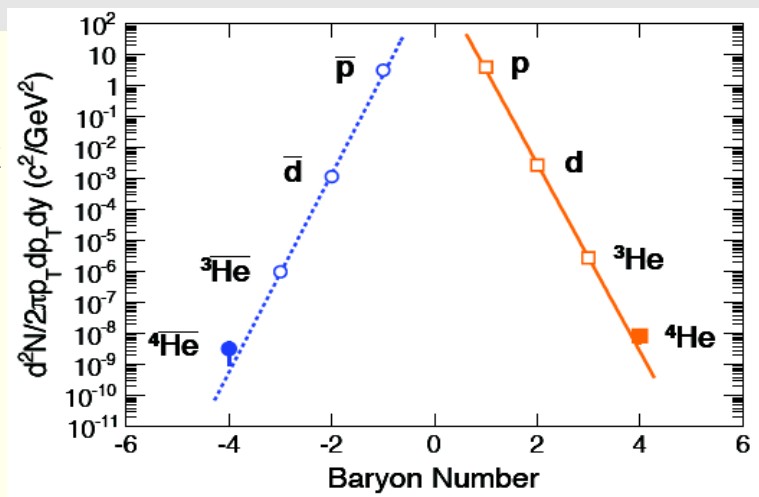
Data: STAR, Science March 2010

Model: A. Andronic, P. Braun-Munzinger, J. Stachel, H. Stöcker, PLB (2011)

# Recently in STAR at RHIC and in ALICE at LHC discovery of anti 4Helium

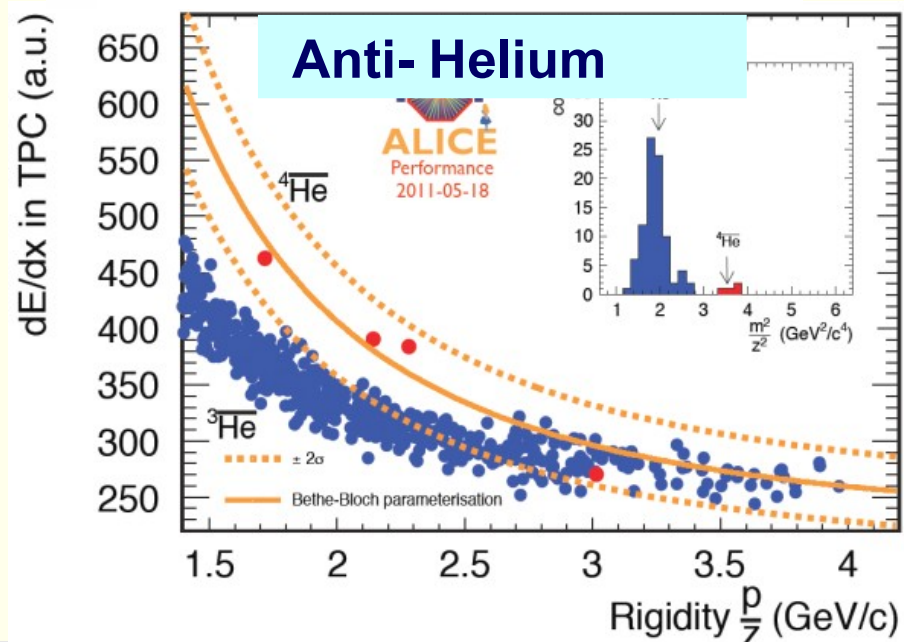


← STAR →  
Should cost  
factor 245 per  
nucleon  
4Hebar/pbar  
 $3.6 \cdot 10^{-9}$   
Like data



*Nature* 473, 353-356, (19 May 2011)  
doi:10.1038/nature10079

no precise comparison yet to statistical model  
need to integrate over spectrum  
extreme sensitivity to (unknown) cross section  
of antimatter in detector material



# How is chemical equilibration achieved?

2-particle collisions not enough – takes about one order of magnitude too long

even when system is initialized in equilibrium at  $T = 170$  MeV, it falls out of equilibrium quickly

simple example:

use a data driven estimate of rate of cooling

near chemical freeze-out (can be explained later)  $|\dot{T}/T| = \tau_T^{-1} = (13 \pm 1)\%/fm$

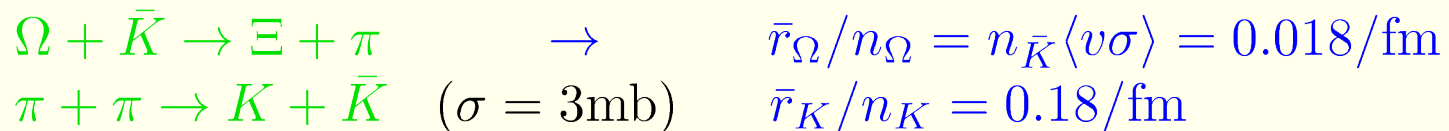
typical densities at  $T_{ch}$  :  $\rho_\pi = 0.174/fm^3$  (incl.res.),  $\rho_K = 0.030/fm^3$   $\rho_\Omega = 0.0003/fm^3$

to maintain equilibrium during 5 MeV temperature drop need a relative rate of change

of densities of  $|\frac{\bar{r}_\Omega}{n_\Omega} - \frac{\bar{r}_K}{n_K}| = \tau_\Omega^{-1} - \tau_K^{-1} = 1.10 - 0.55/fm = 0.55/fm$

so  $\Omega$  density needs to change by 100 % in 1 fm/c

typical reactions with large cross section (10 mb) and rel. velocities of 0.6 give



much too slow to maintain equilibrium even over drop of  $T$  of 5 MeV!

**much harder to get into equilibrium!**

# A possible scenario for rapid equilibration

P. Braun-Munzinger, J. Stachel, C. Wetterich, Phys. Lett. B596 (2004) 61

near phase boundary multiparticle reactions become important  
dynamics associated with collective excitations

(key word: critical opalescence at phase transition)

Propagation and scattering of these collective excitations expressed in form of multi-hadron scattering

will see: this drives the system into equilibrium very rapidly

# Evaluation of multi-strange baryon yield as most challenging test case

consider situation at  $T_{\text{ch}} = 176$  MeV first

rate of change of density for  $n_{\text{in}}$  ingoing and  $n_{\text{out}}$  outgoing particles

$$r(n_{\text{in}}, n_{\text{out}}) = \bar{n}(T)^{n_{\text{in}}} |\mathcal{M}|^2 \phi$$

with

$$\phi = \prod_{k=1}^{n_{\text{out}}} \left( \int \frac{d^3 p_k}{(2\pi)^3 (2E_k)} \right) (2\pi)^4 \delta^4 \left( \sum_k p_k^\mu \right)$$

the phase space factor  $\phi$  depends on  $\sqrt{s}$

needs to be weighted by the probability  $f(s)$  that multiparticle scattering occurs at a given value of  $\sqrt{s}$

evaluate numerically in Monte-Carlo using thermal momentum distribution

typical reaction  $\Omega + \bar{N} \rightarrow 2\pi + 3K$

assume cross section equal to the measured one for  $p + \bar{p} \rightarrow 5\pi$

at proper energy above threshold, i.e.  $\sqrt{s} = 3.25$  GeV  $\rightarrow$  6.4 mb

compute matrix element and use for rate of  $2\pi + 3K \rightarrow \bar{N} + \Omega$

$$r_\Omega = n_\pi^5 (n_K/n_\pi)^3 |\mathcal{M}|^2 \phi$$

# Evaluation of multi-strange baryon yield

reaction  $2\pi + 3K \rightarrow \bar{N} + \Omega$  leads to

$$r_{\Omega} = 0.00014 \text{fm}^{-4} \quad \text{or} \quad r_{\Omega}/n_{\Omega} = 1/\tau_{\Omega} = 0.46/\text{fm}$$

can achieve final density starting from only pions and kaons at  $t=0$  in 2.2 fm/c

similarly one obtains

$$\text{for } 3\pi + 2K \rightarrow \Xi + \bar{N} \quad \text{or} \quad \tau_{\Xi} = 0.71 \text{ fm}$$

and

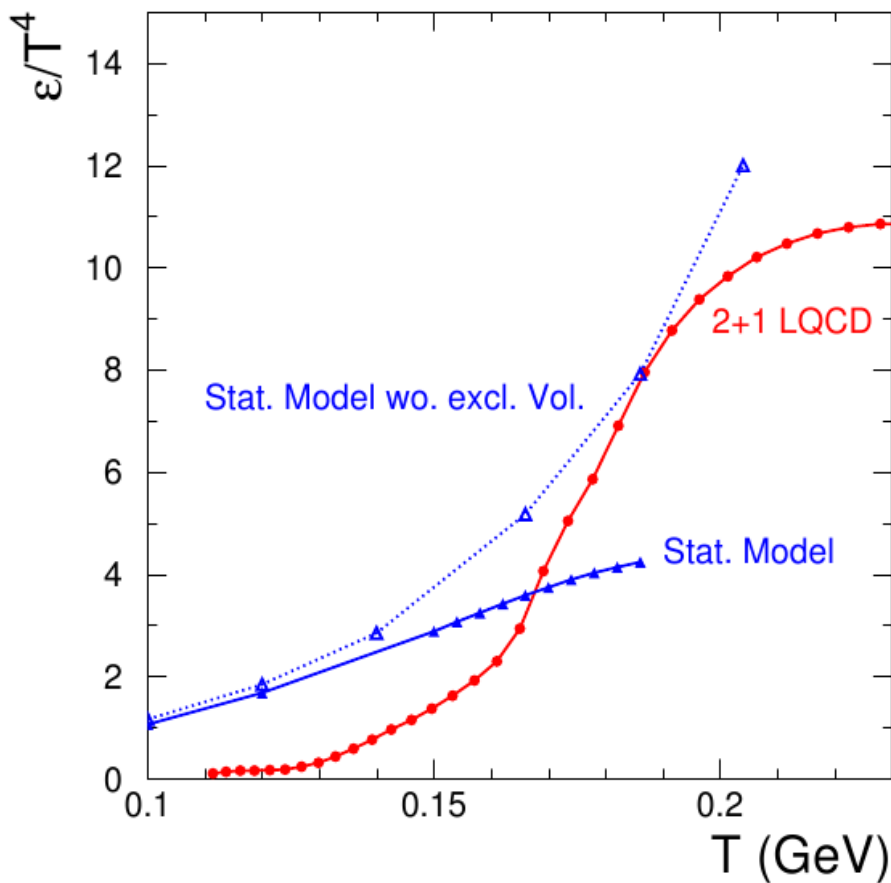
$$\text{for } 4\pi + K \rightarrow \Lambda + \bar{N} \quad \text{or} \quad \tau_{\Lambda} = 0.66 \text{ fm}$$

# why do all particle yields show one common freeze-out T?

the density of particles varies rapidly (factor 2 within 8 MeV) with  $T$  near the phase transition due to increase in degrees of freedom.

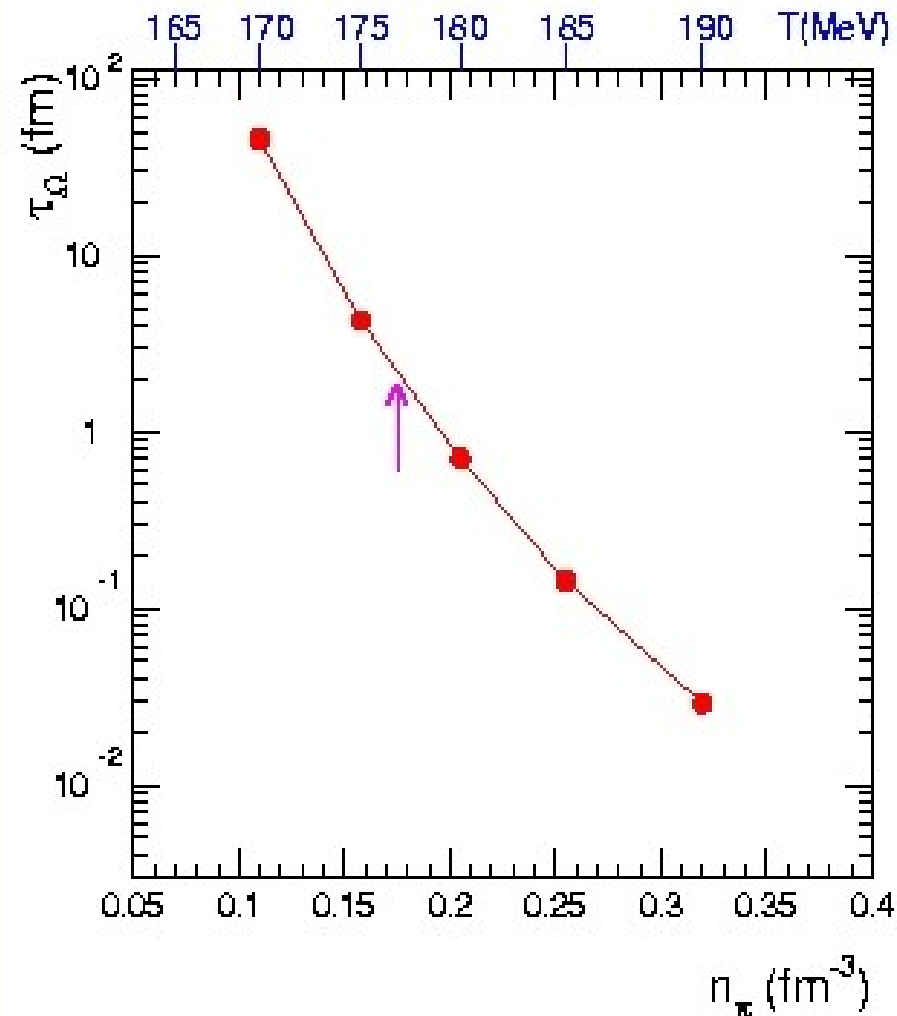
also: system spends time at  $T_c \rightarrow$  volume has to triple (entropy cons.)  
Multi-particle collisions are strongly enhanced at high density and lead to chem. equilibrium very near to  $T_c$   
independently of cross section all particles can freeze out within narrow temperature interval

**natural consequence that chemical freeze-out takes place at  $T_c$ !**



Lattice QCD by F. Karsch et al.

# density dependence of characteristic time for strange baryon production



near phase transition particle density varies rapidly with  $T$

for small  $\mu_b$ , reactions such as

$2\pi + KKK \rightarrow \Omega \text{ Nbar}$  bring multi-strange baryons close to equilibrium.

in region around  $T_c$  equilibration time  $\tau_\Omega \propto T^{-60}$  !

increase  $\rho_\pi$  by 1/3 or 8 MeV:  $\tau = 0.2 \text{ fm}/c$

decrease  $\rho_\pi$  by 1/3:  $\tau = 27 \text{ fm}/c$

all particles freeze out within a very narrow temperature window.